

# 400G Forward Error Correction and Optical Receiver Stress Test

## 400G FEC前向糾錯及ORST光接收器壓力測試

Joe Lin

2020.12.16

*Project Manager / Keysight Technologies*



# Part 1

## 400G Optical Receiver Stress Test

## 400G ORST光接收器壓力測試

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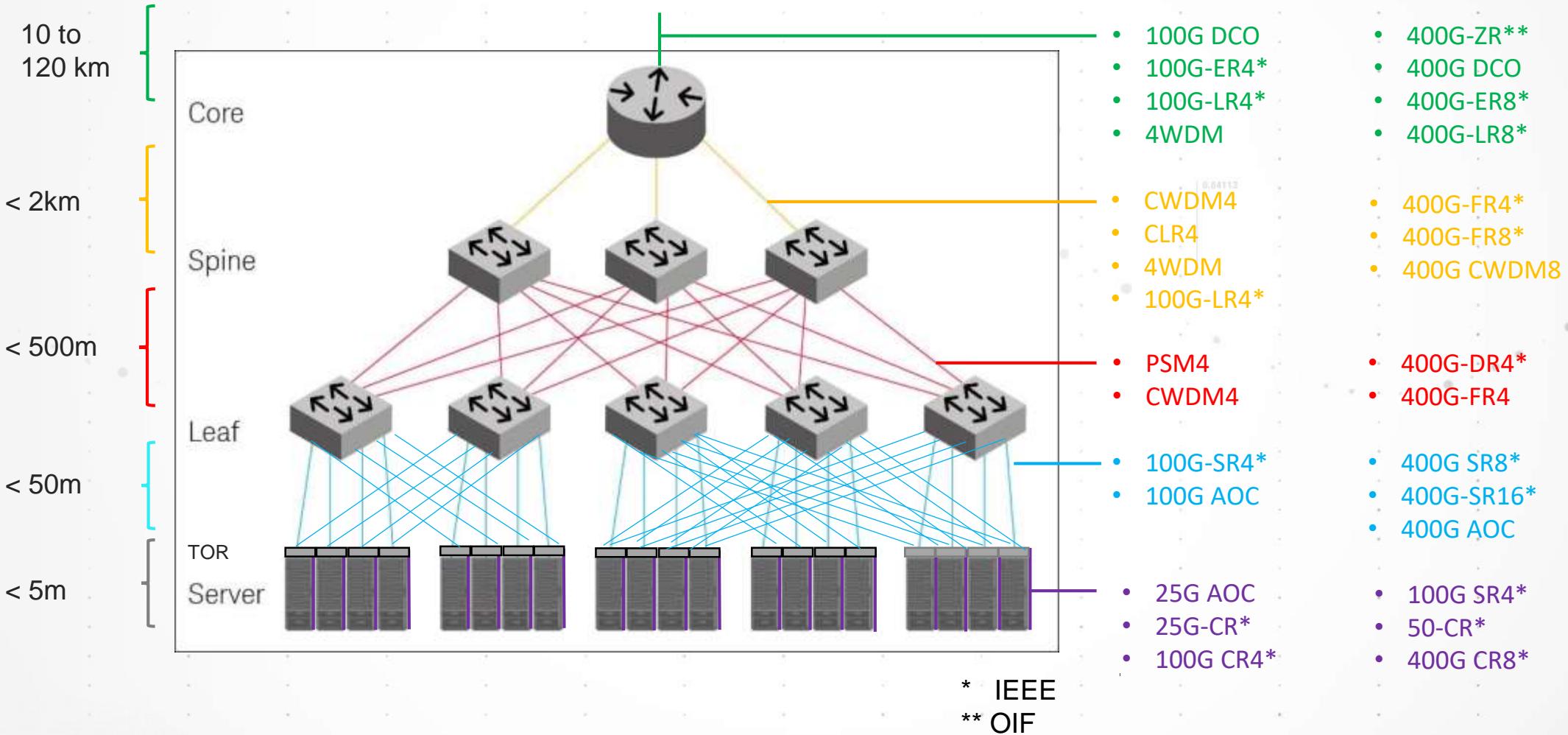
# Agenda

- **100G & 400G TRX ecosystem**
- Keysight TRX test solutions
- Optical Receiver Stress Test
- DUT Test



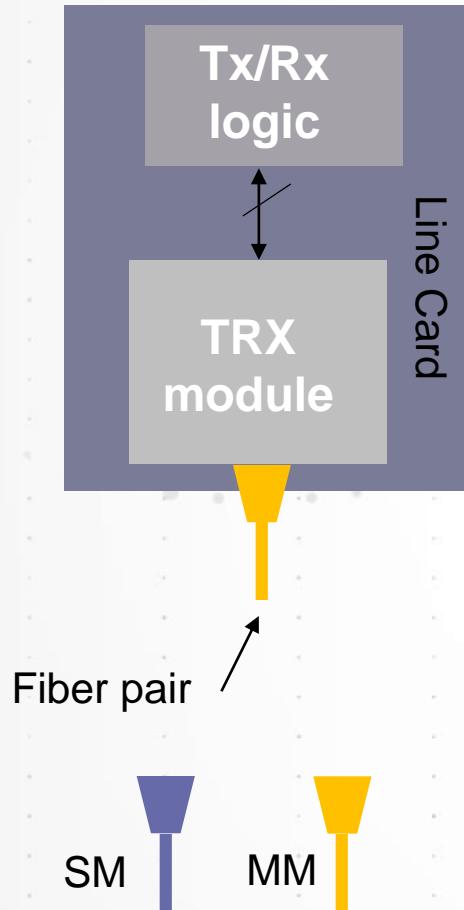
# 100G/400G TRX

## IN DATACENTERS



# 100G/400G TRX

## OPTICAL INTERFACE

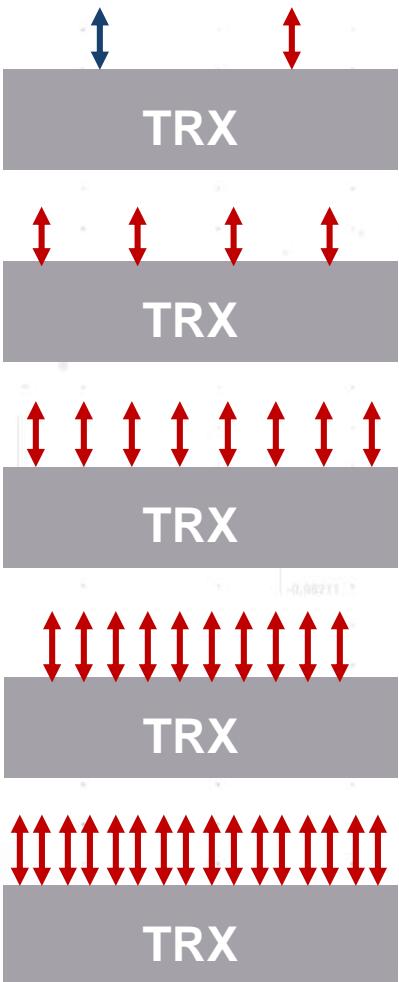
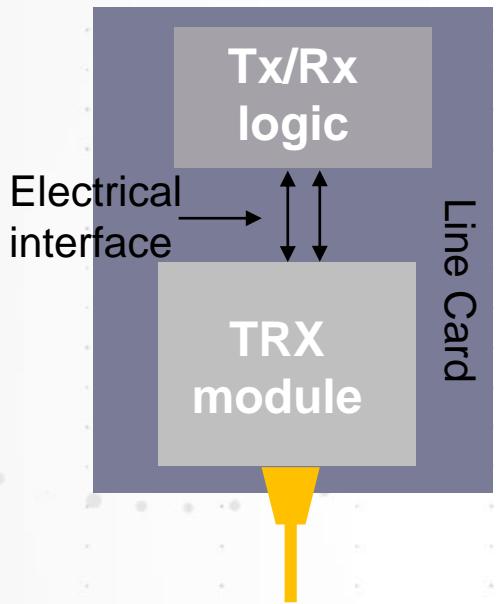


Modulation	Modulation speed (Gbaud)	Lanes
100GBASE DR	PAM4	53.12
200GBASE FR4/LR4	PAM4	26.56
400G FR4/-LR4*	PAM4	26.56
400GBASE-FR8/LR8/ER8	PAM4	26.56
100GBASE LR4/ER4	NRZ	25.78
100G CLR4*	NRZ	25.78
100GFC CWDM4*	NRZ	25.78
100G 4WDM*	NRZ	25.78
400GBASE DR4	PAM4	53.12
200GBASE DR4	PAM4	26.56
64/256GFC	PAM4	28.9
100G PSM4*	NRZ	25.78
100GBASE SR2	PAM4	26.56
400GBASE SR4.2	PAM4	26.56
200GBASE SR4	PAM4	26.56
100GBASE SR4	NRZ	25.78
128GFC SWM4*	NRZ	28.05
400GBASE SR8	PAM4	26.56
100GBASE SR10	NRZ	10.3125
400GBASE-SR16	NRZ	26.56

是德科技400GE高速傳輸暨光電收發器測試研討會

# 100G/400G TRX

## ELECTRICAL INTERFACE



	Modulation	Modulation speed (Gbaud)	Lanes
CAUI-2	PAM4	26.562	
CPPI-4/CAUI-4	NRZ	25.78	4
CEI-28G-VSR	NRZ	25.78	4
200GAUI-4	PAM4	26.56	4
64/256GFC	PAM4	29.02	4
CEI-112G	PAM	53.1	2-4
200GAUI-8	NRZ	26.56	8
<b>400GAUI-8</b>	<b>PAM4</b>	<b>26.56</b>	<b>8</b>
CEI-56G-VSR-NRZ	NRZ	53.1	1-8
CEI-56G-VSR-PAM4	PAM	26.56	1-8
CAUI-10	NRZ	10.31	10
400GAUI-16	NRZ	26.56	16

# 100G/200G/400G optical PMD

	IEEE Clause	PHY	Flavor	Fiber pairs	Carriers / Fiber	Fiber type	Wavel.-Grid	Wavelength-Range	Symbol Rate Gbaud	Mod.-format	Reach
802.3	88	100GBASE	-LR4	1	4	SM	5nm	1294.5nm to 1310.2nm	25.78125	NRZ	10km
802.3	88	100GBASE	-ER4	1	4	SM	5nm	1294.5nm to 1310.2nm	25.78125	NRZ	40km
802.3	95	100GBASE	-SR4	4	1	MM	-	850nm	25.78125	NRZ	100m
CWDM4	88*	100G		1	4	SM	20nm	1264.5nm to 1337.5nm	25.78125	NRZ	2km
CLR4	88*	100G	w/(o) FEC	1	4	SM	20nm	1264.5nm to 1337.5nm	25.78125	NRZ	2km
4WDM	88*	100G	20/40	1	4	SM	5nm	1294.5nm to 1310.2nm	25.78125	NRZ	20/40km
4WDM	88*	100G	10	1	4	SM	20nm	1264.5nm to 1337.5nm	25.78125	NRZ	10km
PSM4	52*	100G		4	1	SM	-	1310nm	25.78125	NRZ	500m
SWDM4	95*	100G		1	4	MM	30nm	844-948nm	25.78125	NRZ	150m (OM5)
SWDM4	95*	100G	e	1	4	MM	30nm	844-948nm	25.78125	NRZ	400m (OM5)
100G/λ	140*	100G	-FR	1	1	SM	-	1304.5nm to 1317.5nm	53.125	PAM4	2km
100G/λ	140*	100G	-LR	1	1	SM	-	1304.5nm to 1317.5nm	53.125	PAM4	10km
802.3cd	140	100GBASE	-DR	1	1	SM	-	1304.5nm to 1317.5nm	53.125	PAM4	500m
802.3cd	138	100GBASE	-SR2	2	1	MM	-	850nm	53.125	PAM4	100m
FC		64GFC	-LW	1	1	SM	-	1304.5nm to 1317.5nm	28.9	PAM4	10km
FC		64GFC	-SW	1	1	MM	-	850nm	28.9	PAM4	100m

\* with exceptions

# 100G/200G/400G optical PMD

	IEEE Clause	PHY	Flavor	Fiber pairs	Carriers / Fiber	Fiber type	Wavel.-Grid	Wavelength-Range	Symbol Rate Gbaud	Mod.-format	Reach
CWDM8	88*, 95*	400G	CWDM8	1	1	SM	20nm	1264.5nm to 1417.5nm	53.125	NRZ	2/10km
100G/λ	140*	400G	-FR4/LR4	1	4	SM	20nm	1264.5nm to 1337.5nm	53.125	PAM4	2/ 10km
802.3bs	123	400GBASE	-SR16	16	1	MM 50/125 -OM3, -OM4	-	840.0nm to 860.0nm	26.5625	NRZ	OM3: 70m OM4: 100m
802.3bs	121	200GBASE	-DR4	4	1	SM	-	1304.5nm to 1317.5nm	26.5625	PAM4	500m
802.3bs	124	400GBASE	-DR4	4	1	SM	-	1304.5nm to 1317.5nm	53.1250	PAM4	500m
802.3bs	122	200GBASE	-FR4 -LR4	1	4	SM	20nm 5nm	1264.5nm to 1337.5nm 1294.5nm to 1310.2nm	26.5625	PAM4	FRx: 2km LRx: 10km
		400GBASE	-FR8 / -LR8	1	8	SM	800GHz	1272.5nm to 1310.2nm			
802.3cd	138	50GBASE	-SR	1	1	MM 50/125 -OM3, -OM4	-	840.0nm to 860.0nm	26.5625	PAM4	OM3: 70m OM4: 100m
		100GBASE	-SR2	2							
		200GBASE	-SR4	4							
802.3cm	138 150	400GBASE	-SR8 -SR4.2	8 4	1 2	MM			26.5625	PAM4	OM4: 100m
802.3cn	122	400GBASE	-ER8	1	8	SM	-	1264.5nm to 1337.5nm	26.5625	PAM4	40km

\* with exceptions

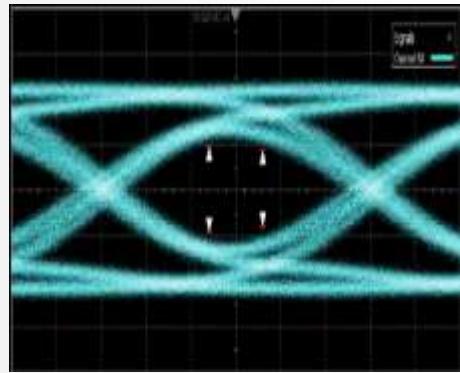
# Agenda

- 100G & 400G TRX ecosystem
- **Keysight TRX test solutions**
- Optical Receiver Stress Test
- DUT Test



# Optical Transceiver Modules Compliance Tests

100GBASE-LR4/-ER4/-SR4



IEEE802.3 Annex 83E

Electrical Tx test  
Eye width/height, VEC, rise/fall-time  
(waveform analysis)

Electrical Rx test  
SRS, Jtol (BER)

CAUI-4

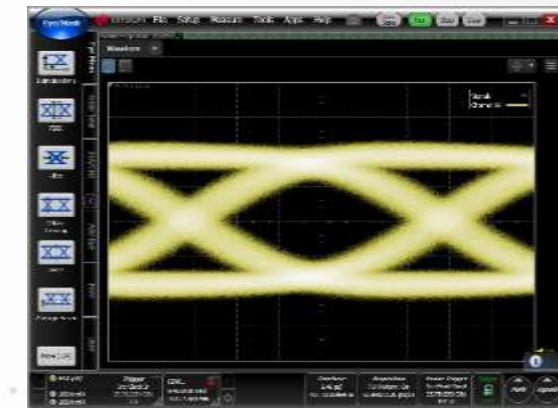


IEEE802.3 clause 88 & 95

Optical Rx test  
SRS, Jtol (BER)

Optical Tx test  
VECP, SEC, OMA, ER, Jitter  
(waveform analysis)

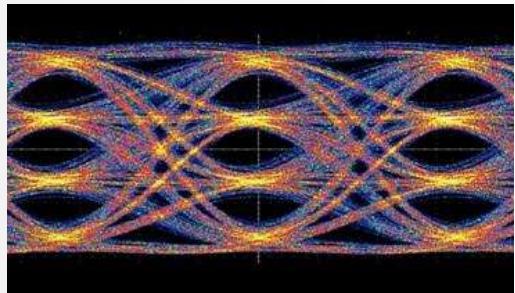
100GBASE-ER4/-LR4/-SR4



# Optical Transceiver Modules Compliance Tests

## 400GBASE-LR8/-FR8

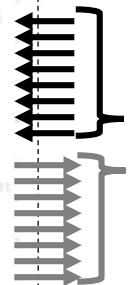
26.6Gbaud PAM4



**IEEE802.3bs Annex 120E**  
Chip-to-module 200 Gb/s four-lane  
Attachment Unit Interface (200GAUI-4 C2M)  
and 400 Gb/s eight-lane Attachment Unit  
Interface (400GAUI-8 C2M)

**Electrical Tx test**  
**Linearity, ISI, Mask**  
(Waveform analysis)

**Electrical Rx test**  
SRS, Jtol (BER)



400GAUI-8 C2M

**IEEE802.3bs clause 122**  
Physical Medium Dependent (PMD) sublayer and  
medium, type 200GBASE-FR4, 200GBASE-LR4,  
400GBASE-FR8, and 400GBASE-LR8

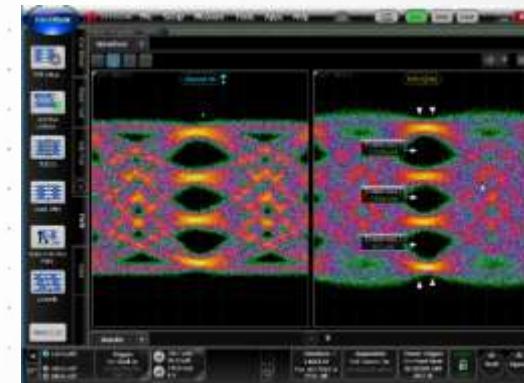
**Optical Rx test**  
SRS, Jtol (BER)

**Optical Tx test**  
**TDECQ, OMA, OER**  
(Waveform analysis)



400GBASE-FR8/LR8

26.6Gbaud PAM4

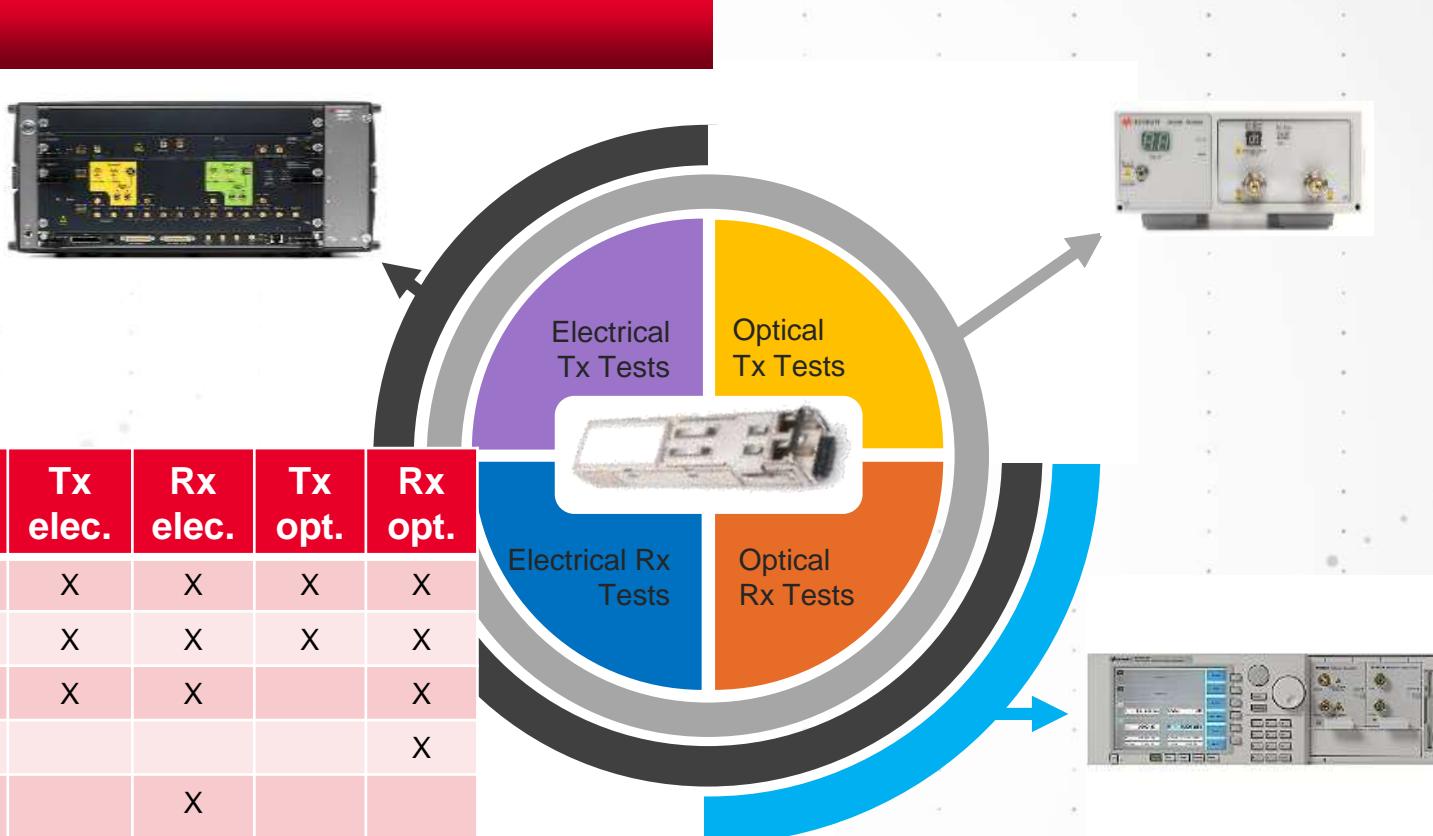


$25.78125 \rightarrow 26.5625\text{Gbd}$   
enhanced RS FEC (528,514)  $\rightarrow$  (544,514)

# Optical Transceiver Modules Compliance Tests

## E.G. 400G SOLUTIONS

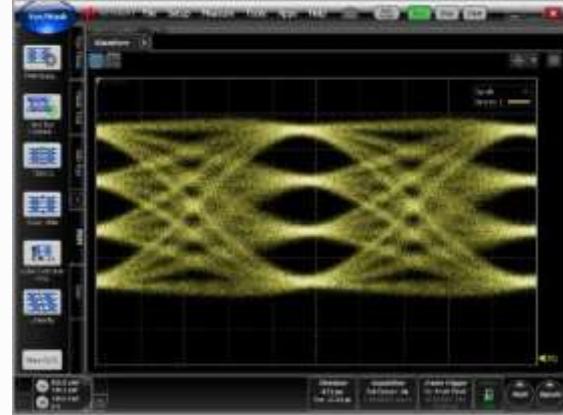
SW	Description	Tx elec.	Rx elec.	Tx opt.	Rx opt.
N1010A	FlexDCA	X	X	X	X
N1010100A	R&D SW Package for the DCA	X	X	X	X
M8070B	System SW for M8000 series	X	X		X
N4917BSCB	Optical RX Test for IEEE 802.3bs/cd + MSAs				X
M8091BSPA	Electrical RX Test for IEEE P802.3bs			X	
M809256PA	Electrical RX Test for OIF-CEI-4.0 (VSR)			X	
N1095BSCA	Optical TX Test for IEEE 802.3bs/cd				X
N1091BSCB	Electrical TX Test for IEEE 802.3bs/cd	X			
N109256CB	Electrical TX Test for OIF-CEI-4.0 (VSR/MR/LR)	X			



# Optical Transceiver Modules Compliance Tests

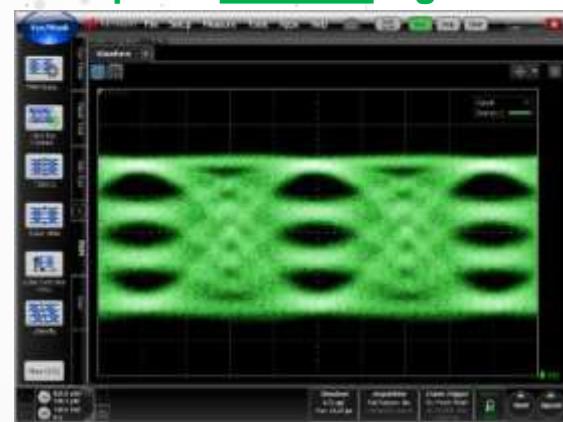
E.G. 400G SOLUTIONS

DCA-based optical waveform analysis (TDECQ, OER,...)

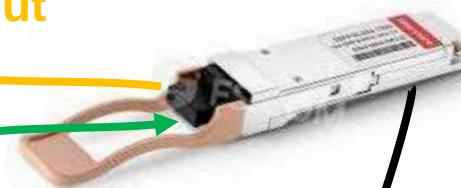


Tx out

Optical stressed signal



Rx in



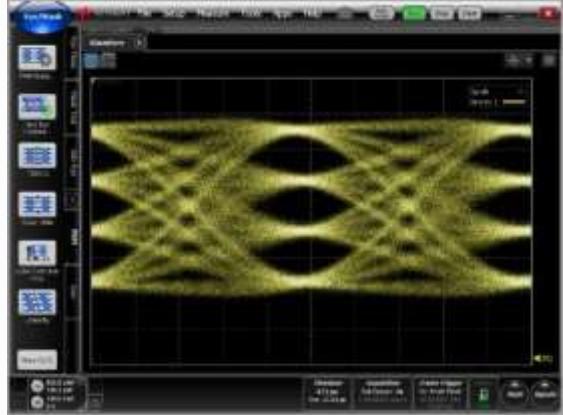
?

BERT- based performance analysis

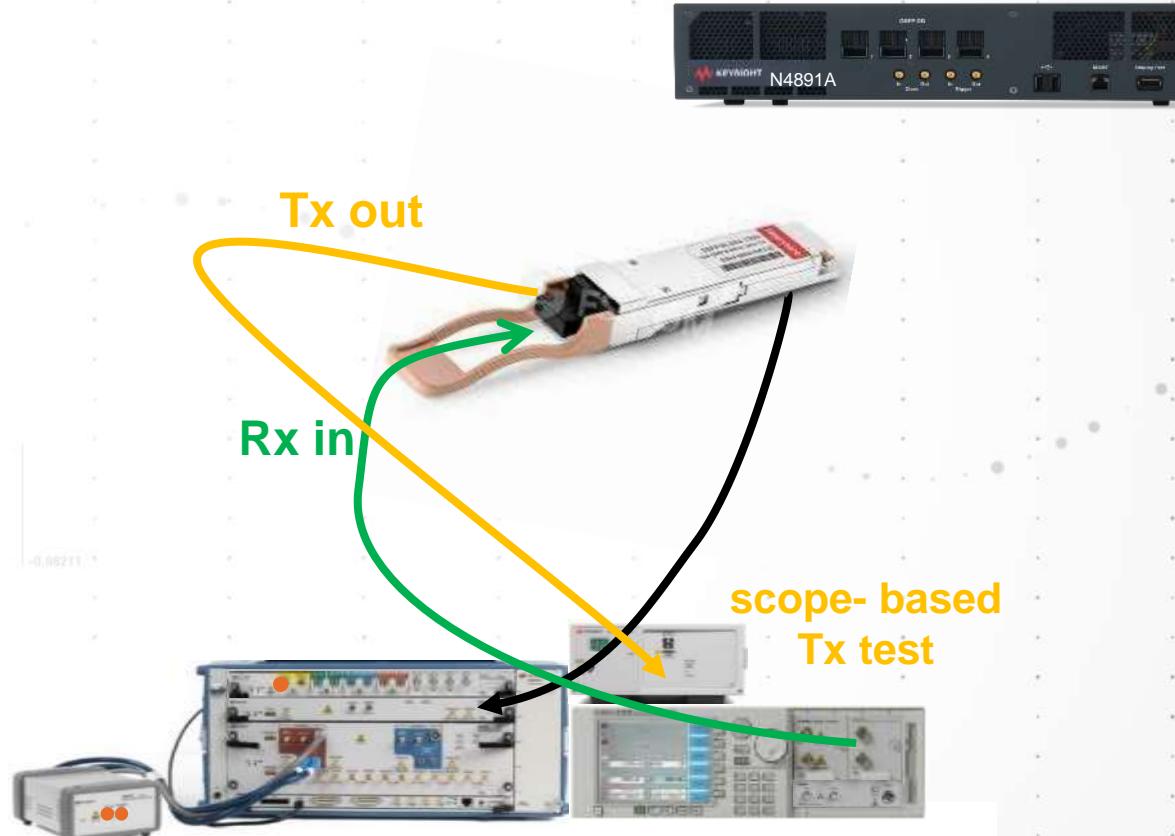
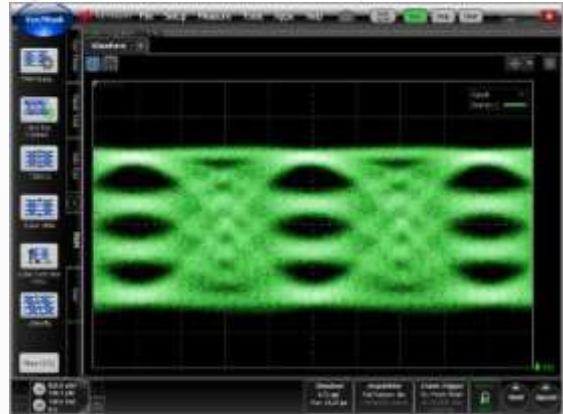
# Optical Transceiver Modules Compliance Tests

E.G. 400G SOLUTIONS

DCA-based optical waveform analysis (TDECQ, OER,...)



Optical stressed signal



# Agenda

- 100G & 400G TRX ecosystem
- Keysight TRX test solutions
- **Optical Receiver Stress Test**
- DUT Test



# 100G / 400G Optical Receiver Stress Test

## STRESSED RECEIVER CONFORMANCE TEST BLOCK DIAGRAMS

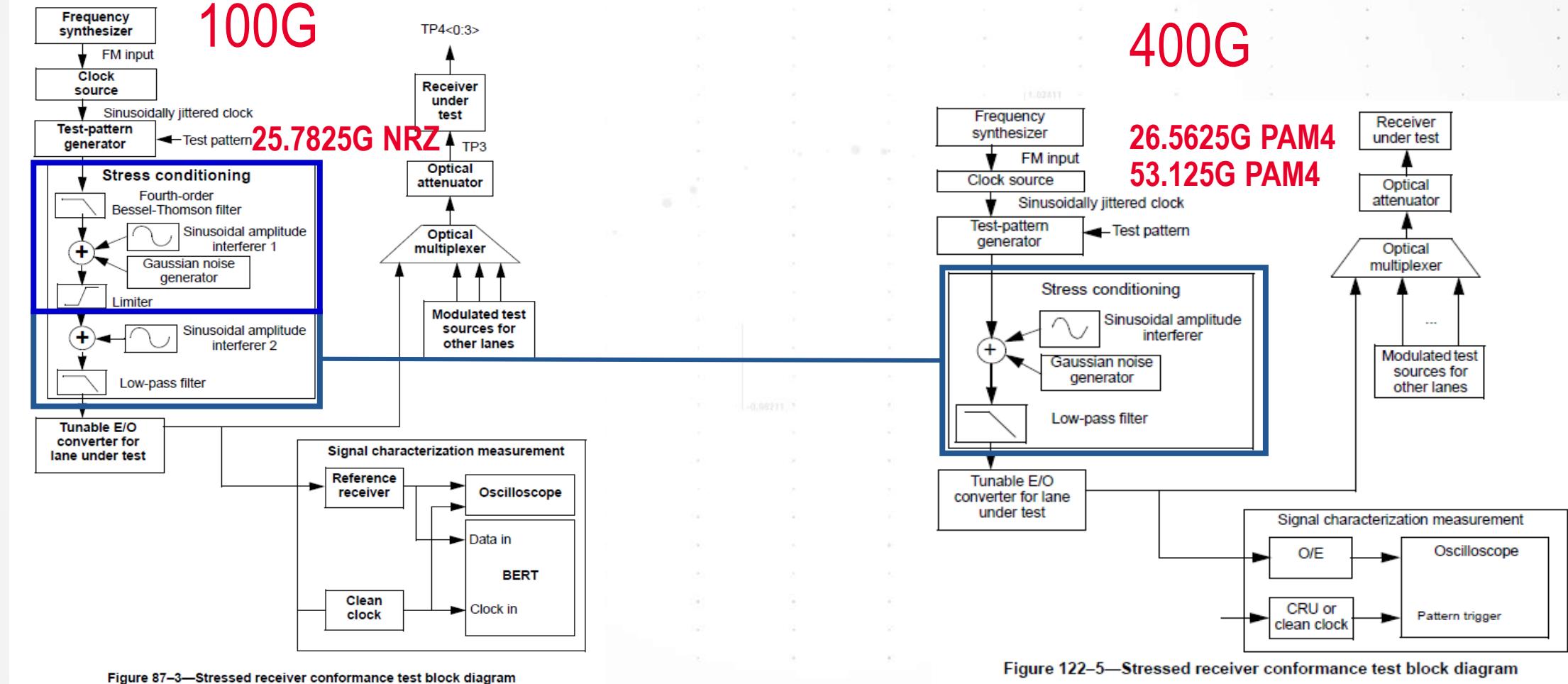


Figure 87-3—Stressed receiver conformance test block diagram

Figure 122-5—Stressed receiver conformance test block diagram

# M8020A-based ORST solution

ONE SW, ONE HW ALL 10G/40G/100G FLAVORS

- **100G ORST**  
100GBASE-LR4  
100GBASE-ER4  
100GBASE-SR4  
100G CLR4  
100G CWDM4  
100G 4WDM

- **10/40G ORST**  
10GBASE-LR  
10GBASE-ER  
40GBASE-LR4  
40GBASE-ER4



N4917B 100G ORST SW  
N4917CPCA 10G/40G/CPRI



Complete optical receiver stress test solution for  
**100GbE (IEEE, MSAs) & 400GbE optical transceivers**  
with automated stress eye calibration and performance compliance testing

# M8040A-based ORST solution

ONE SW, ONE HW ALL 100G/400G FLAVORS

- **100G ORST (NRZ)**

100GBASE-LR4  
100GBASE-ER4  
100GBASE-SR4  
100G MSAs

- **400G ORST (SM)**

200GBASE-DR4  
400GBASE-FR8  
200GBASE-FR4  
400GBASE-LR8  
200GBASE-LR4  
400GBASE-DR4  
100GBASE-DR  
50GBASE-FR/-LR  
400G-FR4  
100G-FR/-LR

- **400G ORST (MM)**

50GBASE-SR  
100GBASE-SR  
SR2200GBASE-SR4  
400GBASE-SR8



N4917BACA 100G ORST SW  
N4917BSCB 400G ORST SW



M8040A  
PPG / ED



M819x AWG



DCA-X/M



8164B & 8149x  
Ref optical Tx

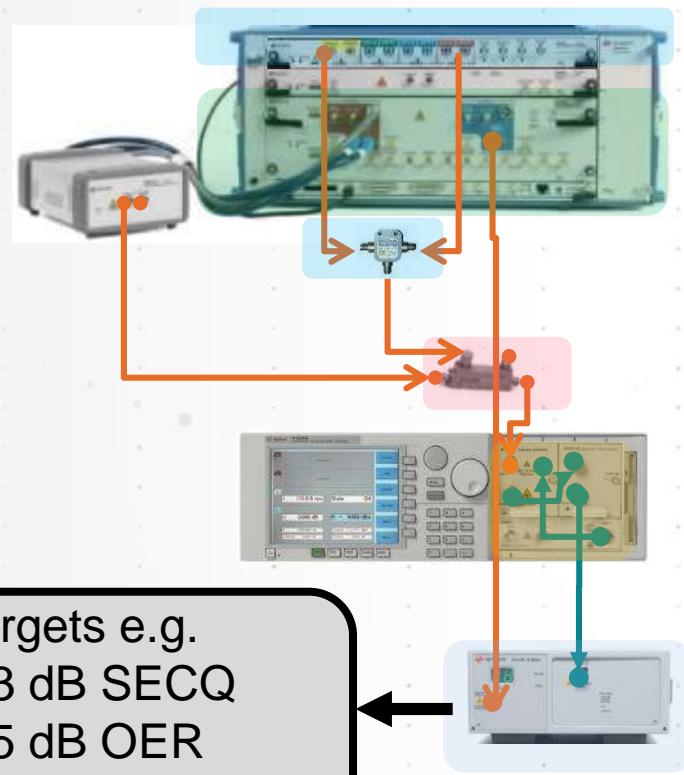


*Complete optical receiver stress test solution for  
100GbE (IEEE, MSAs) & 400GbE optical transceivers  
with automated stress eye calibration and performance compliance testing*

# M8040A-based ORST solution

## HW CONFIGURATION

### Keysight HW configuration



Targets e.g.  
3.3 dB SECQ  
3.5 dB OER  
-3.6 dBm OMA

- Reference Rx
- BW~ Signal Nyquist freq.
  - 5 Taps T-spaced FIR.

### IEEE stress signal generation procedure

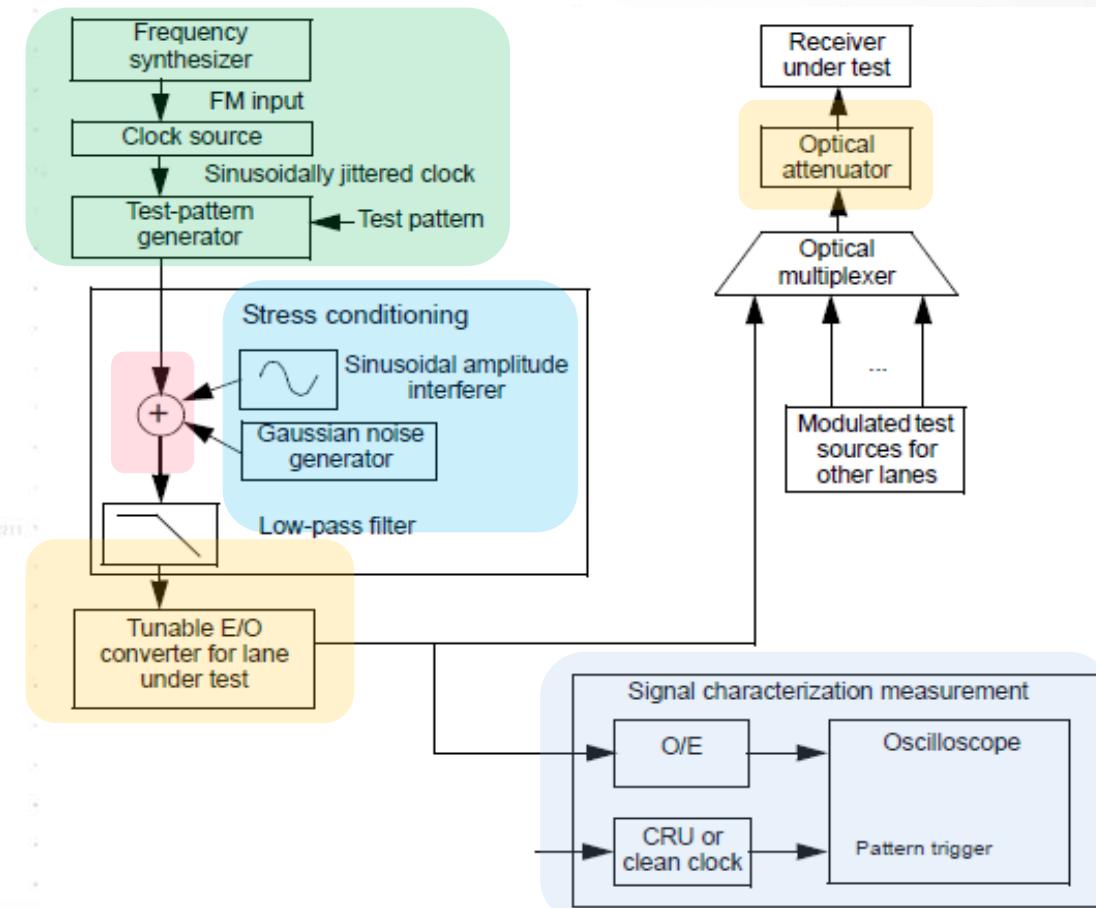
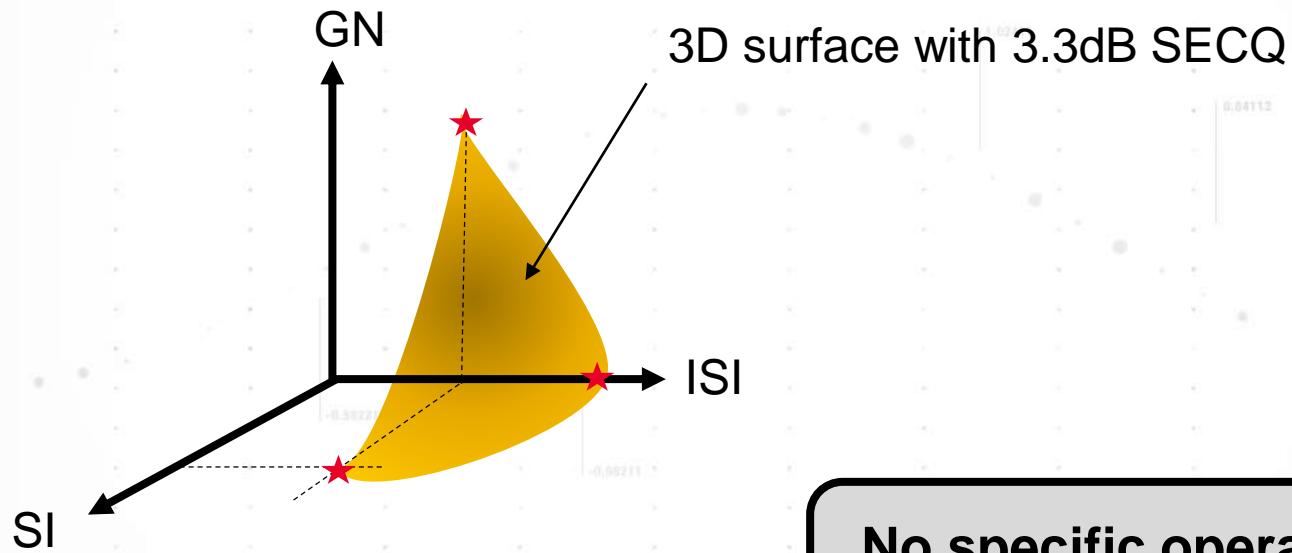


Figure 122-5—Stressed receiver conformance test block diagram

# 200/400GBASE Stressed Rx Test Setups

## 802.3BS STANDARD RECOMMENDATIONS

Contribution to SECQ: {ISI\*, GN\*\*, SI\*\*\*}:



\* At least  $\frac{1}{2}$  SECQ due to ISI

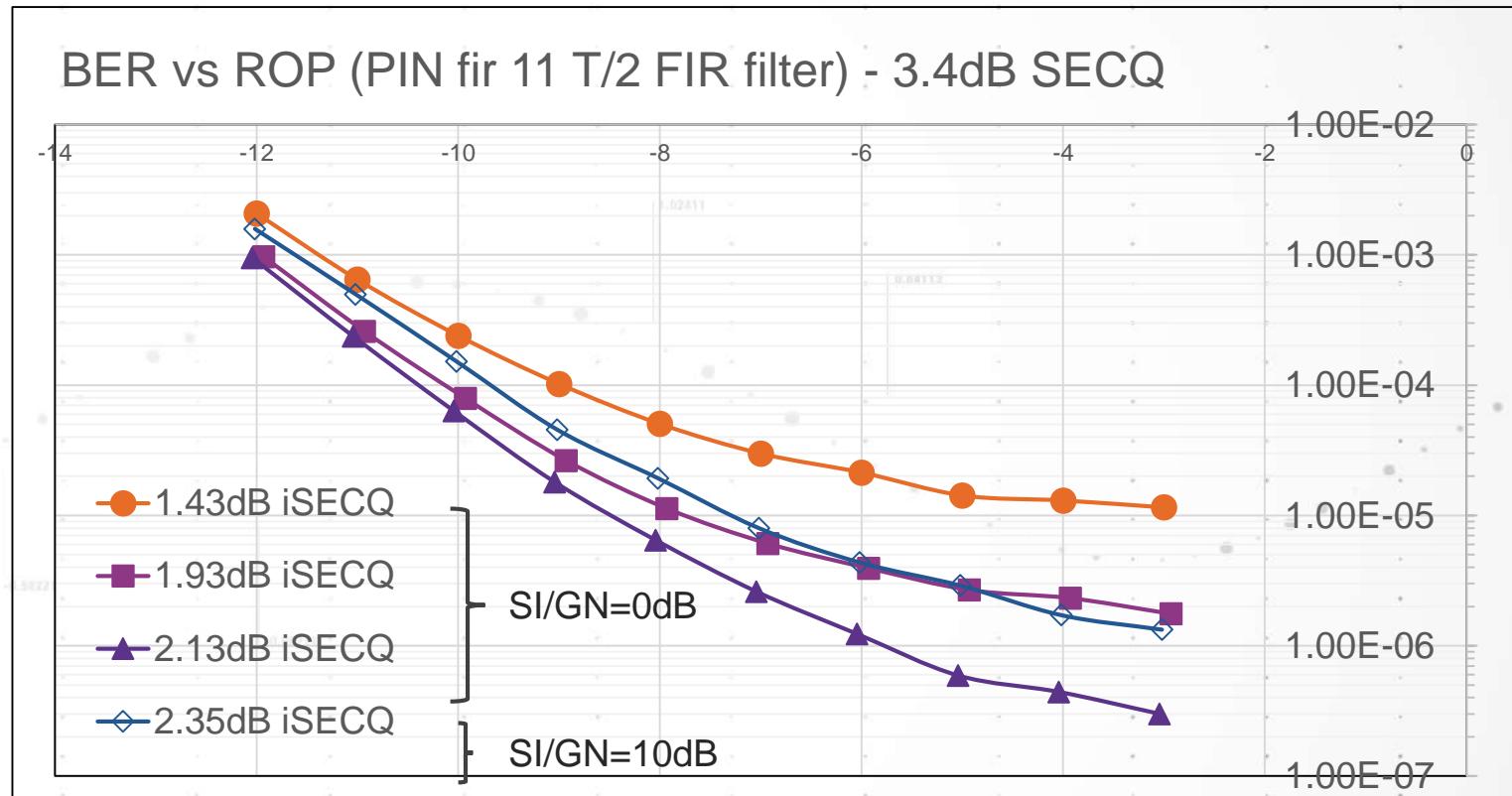
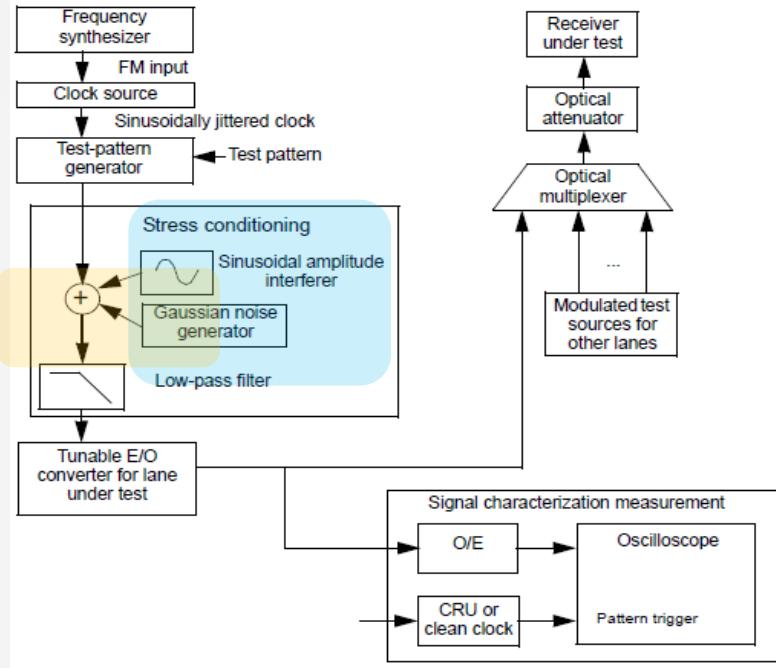
\*\* Broadband GN (>signal Nyquist freq.)

\*\*\* Large frequency range

No specific operating point recommended by the standard. Use anyone?

# 200/400GBASE Stressed Rx Test Setups

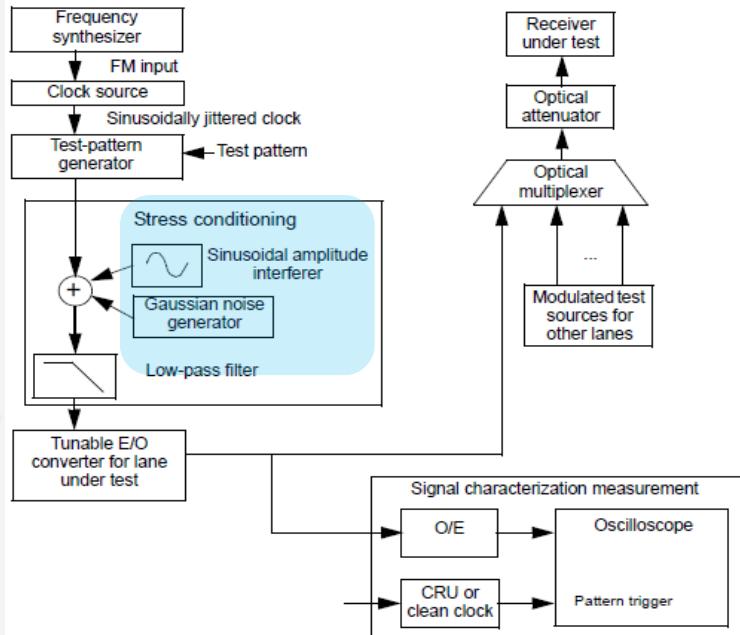
THE ACTUAL STRESS MIX DOES MATTER!



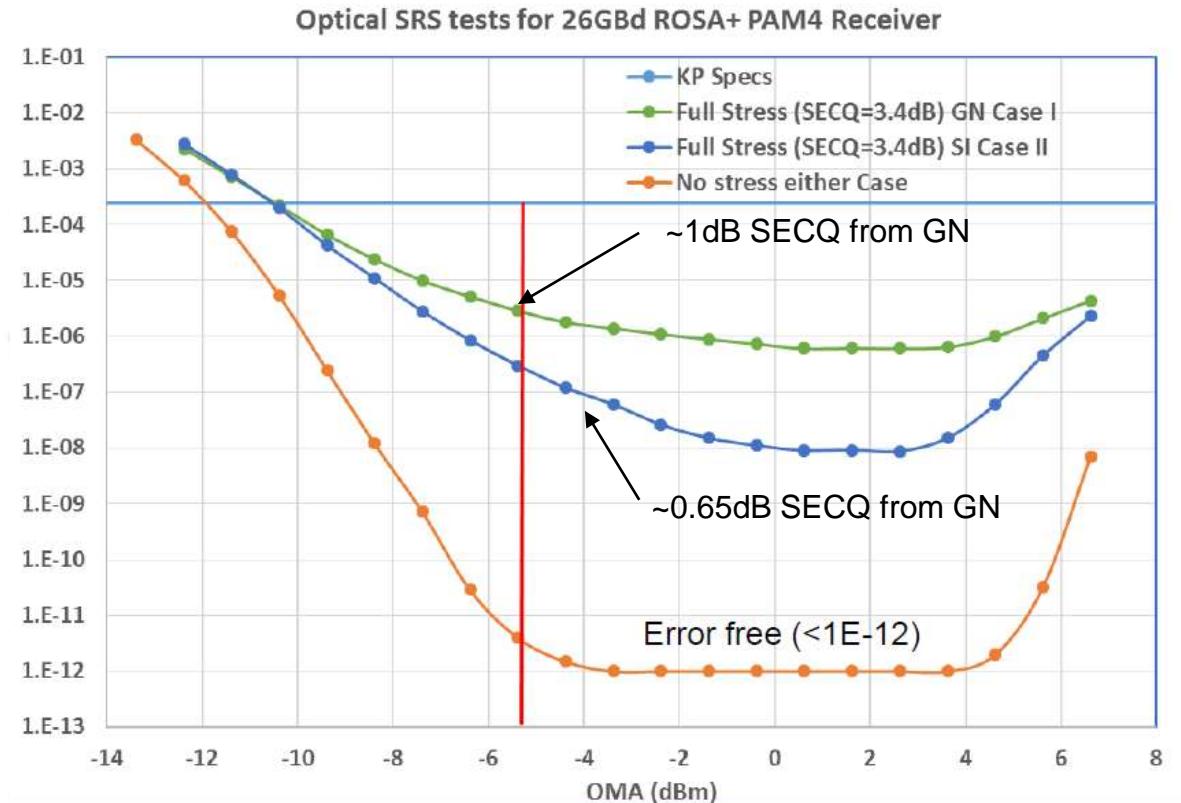
Same SECQ but different performance  $\Rightarrow$  Stress mix must be controlled accurately!

# 200/400GBASE Stressed Rx Test Setups

## USING AN AWG AS STRESS SOURCE



Same SECQ but different performance ⇒  
Stress mix must be controlled accurately!



From Frank Chang et al, *Optical PAM4 RX SRS Results Update*

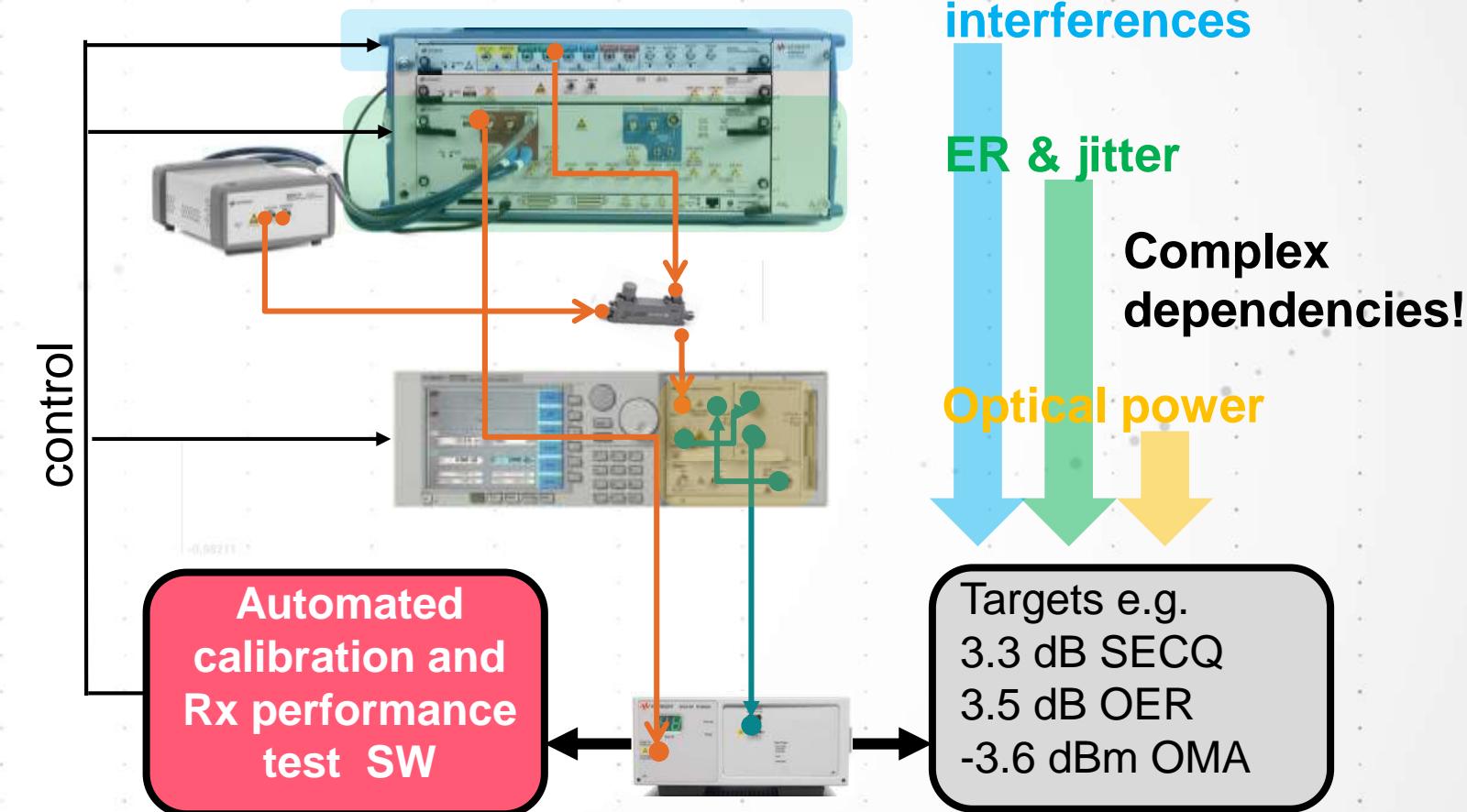
Download full study here:  
<http://grouper.ieee.org/groups/802/3/cd/public/Nov17/>

# Keysight Optical Receiver Stress Test

## CHALLENGES & AUTOMATION SW

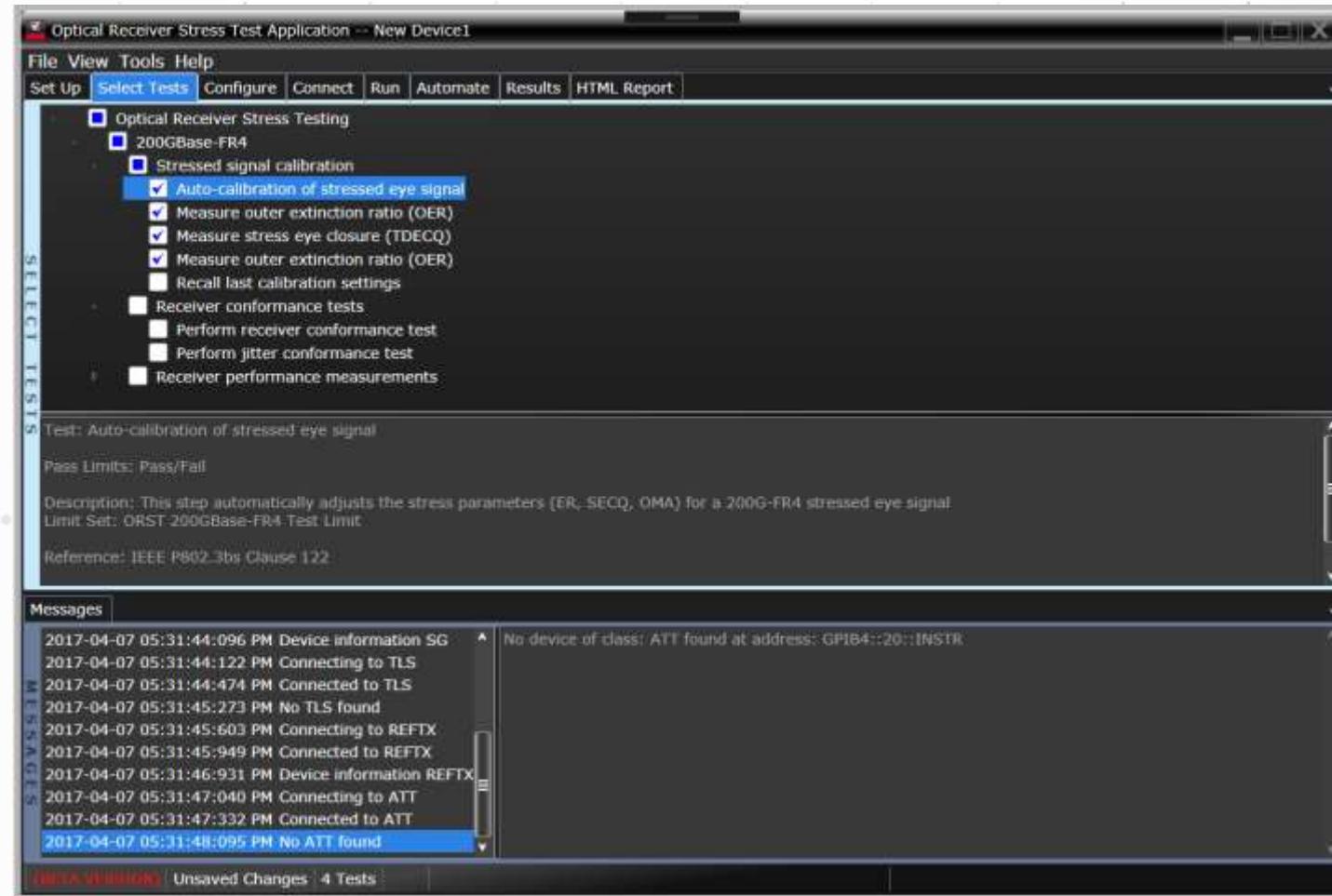
### Challenges

- Calibration repeatability  
(accurate control of stress-mix)
- Stable stress signal calibration  
(Reference transmitter)
- Measurement accuracy  
(TDECQ algorithm)
- Tedious signal calibration  
(SW automation)



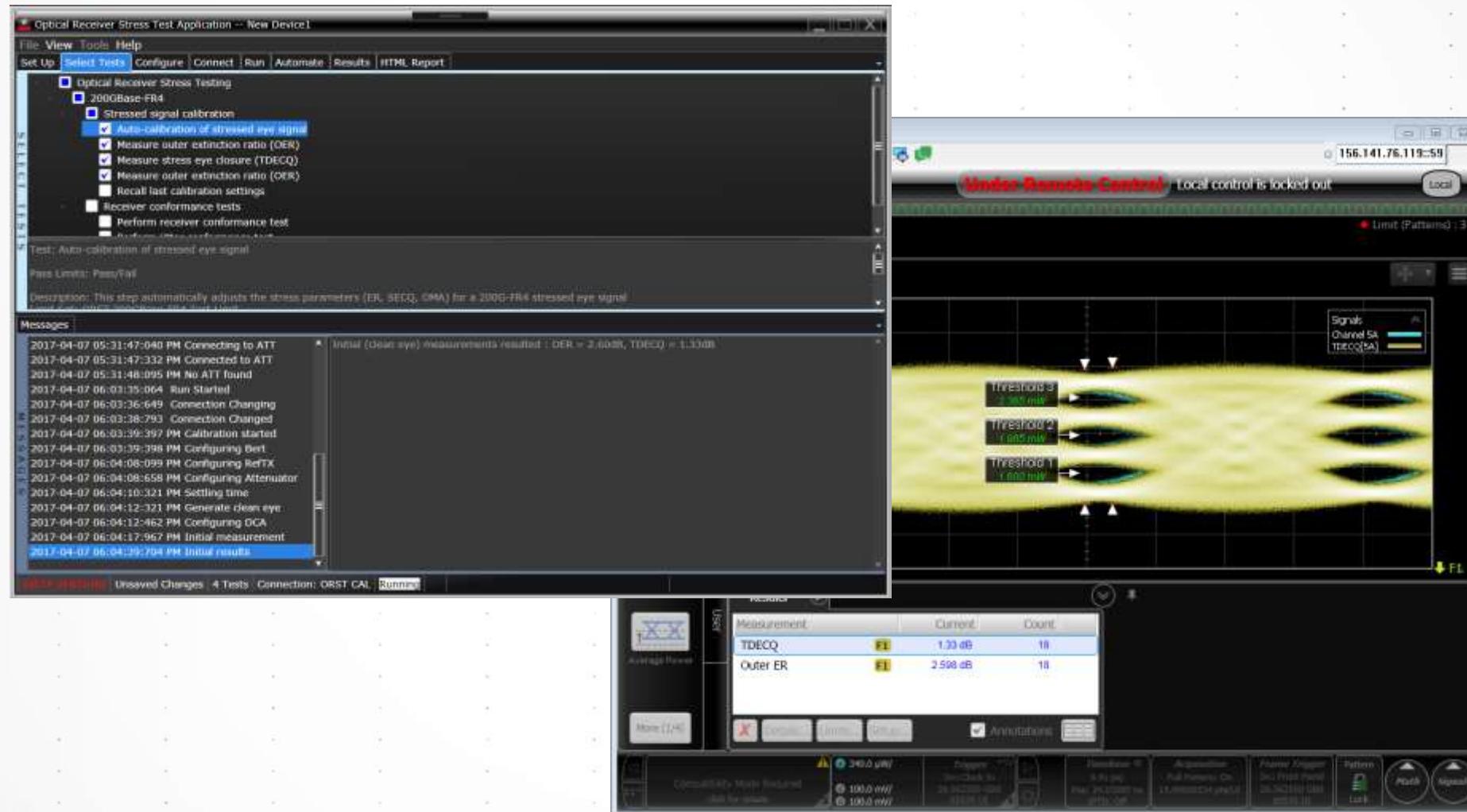
# Keysight Optical Receiver Stress Test

## SELECT TEST



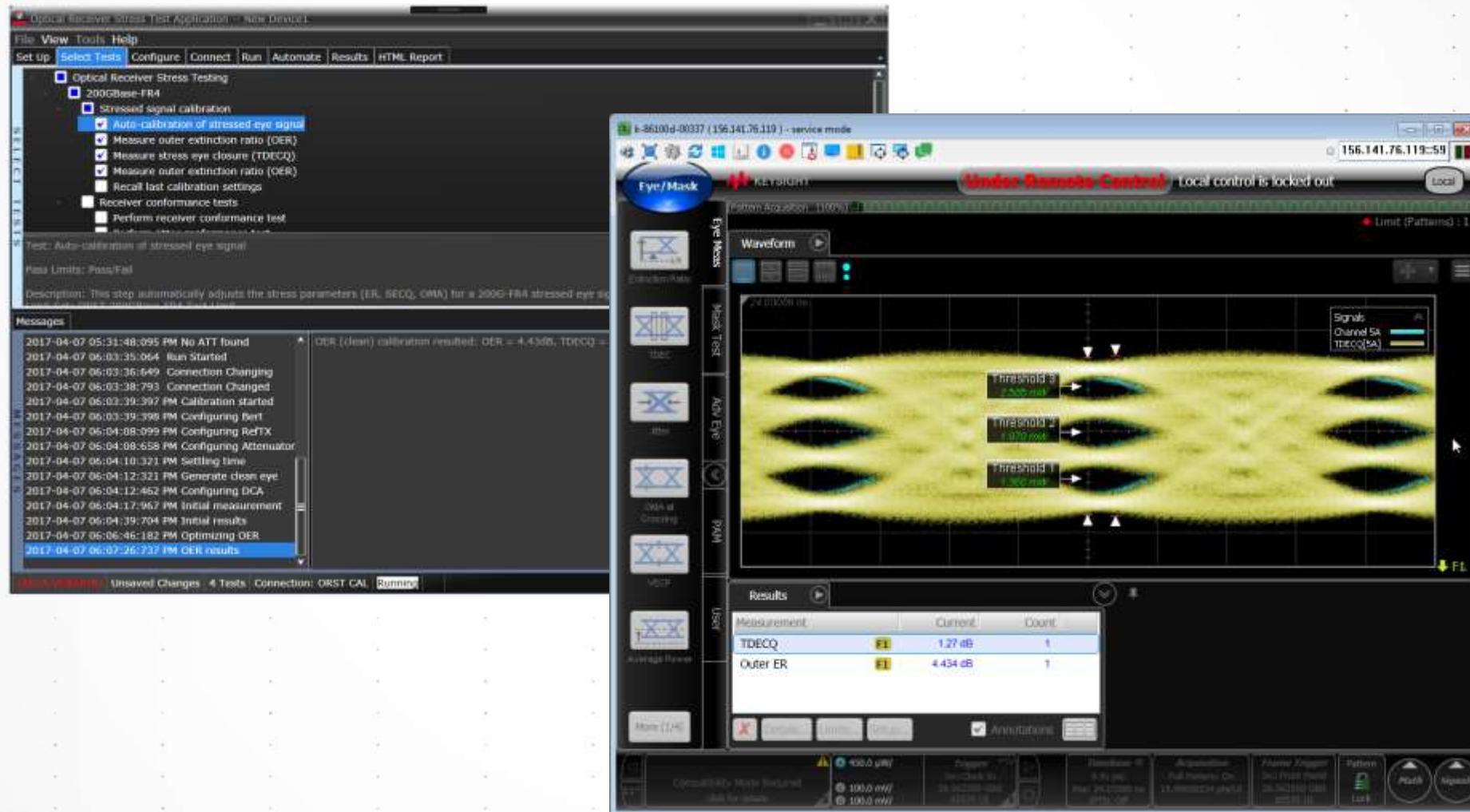
# Keysight Optical Receiver Stress Test

## CALIBRATION: INITIAL SECQ AND OER



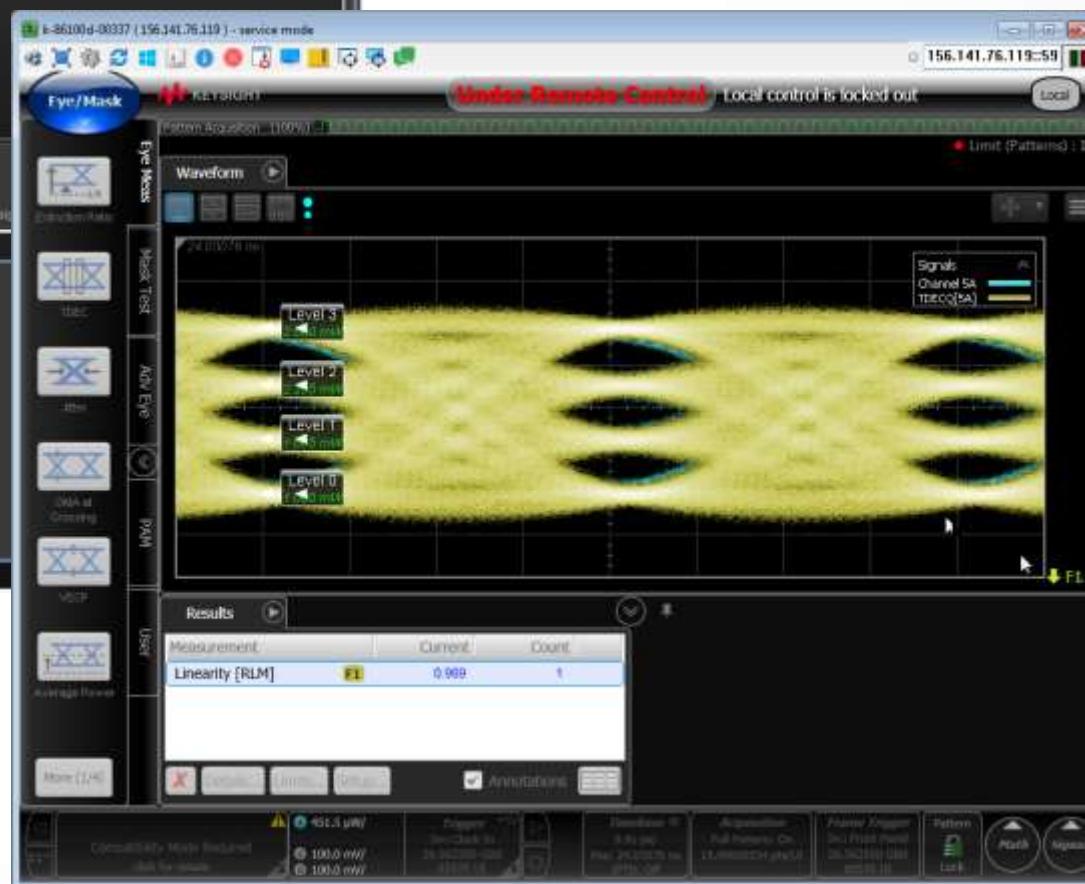
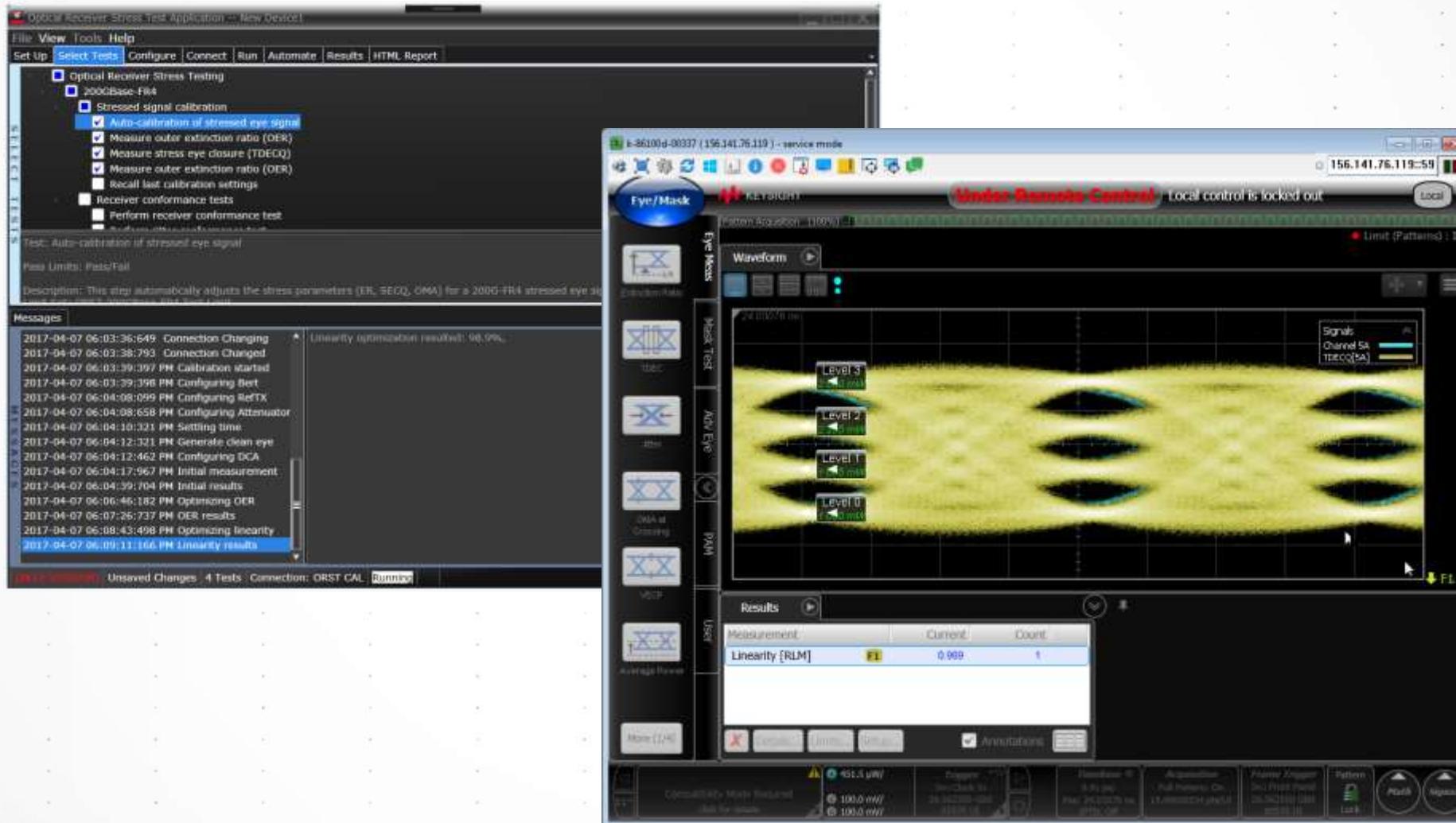
# Keysight Optical Receiver Stress Test

## CALIBRATION RUN: OER OPTIMIZATION



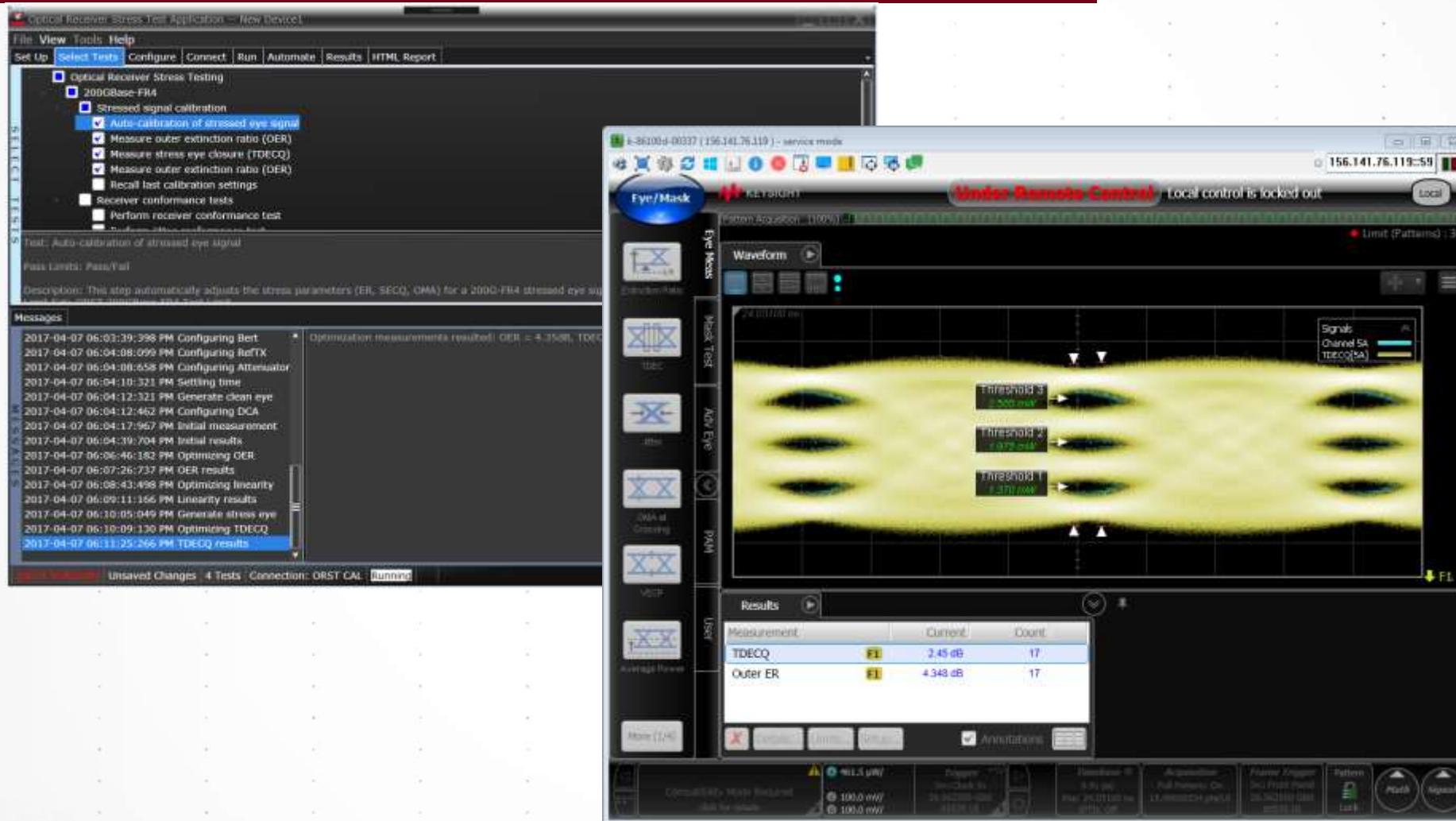
# Keysight Optical Receiver Stress Test

CALIBRATION RUN: OPTIMIZE LINEARITY >96%



# Keysight Optical Receiver Stress Test

## CALIBRATION RUN: OPTIMIZE SECQ

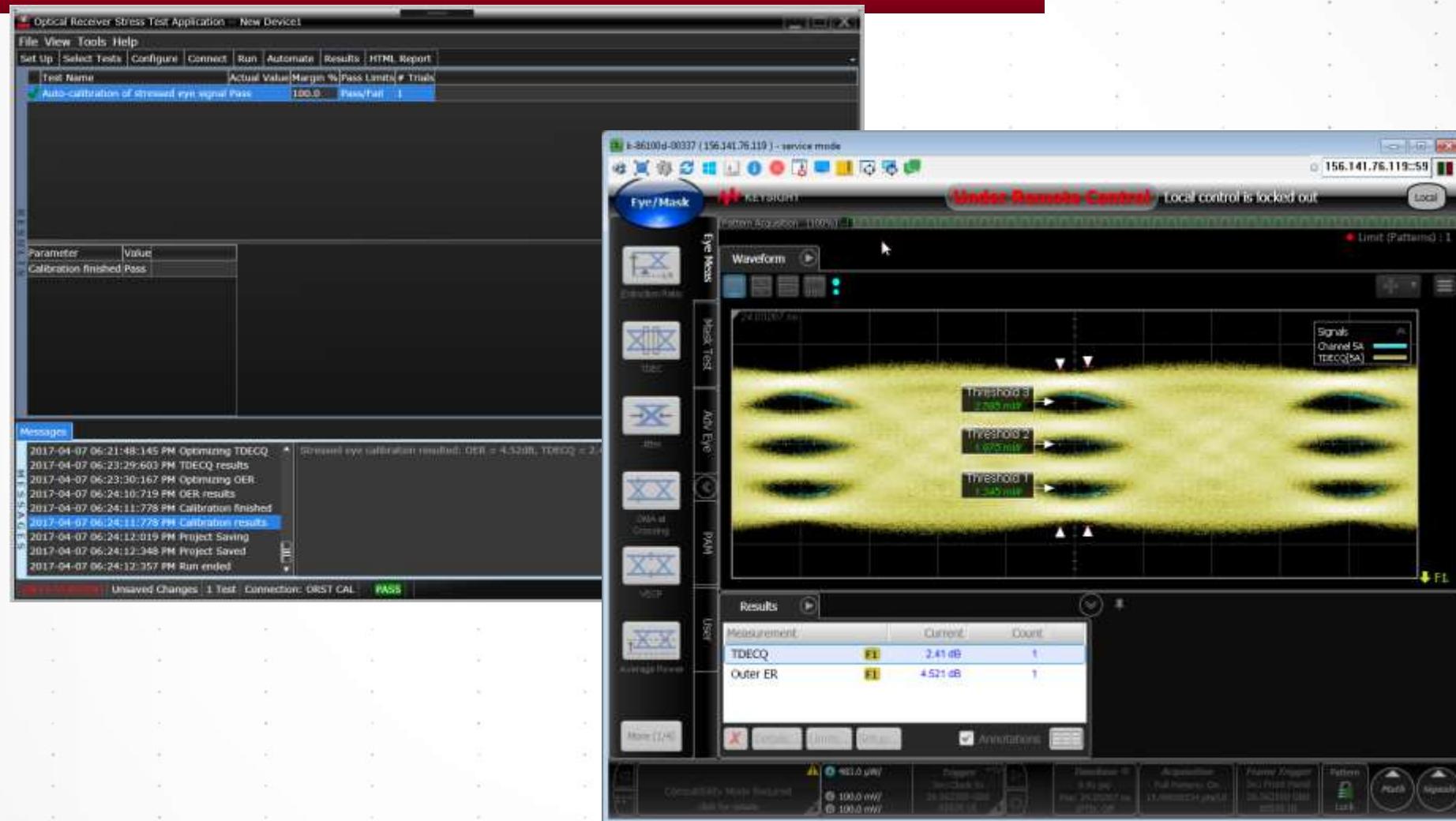


ORST for M8040A  
是德科技400GE高速傳輸暨光電收發器測試研討會

400G ORST Solution - N4917BSCA

# Keysight Optical Receiver Stress Test

CALIBRATION COMPLETED



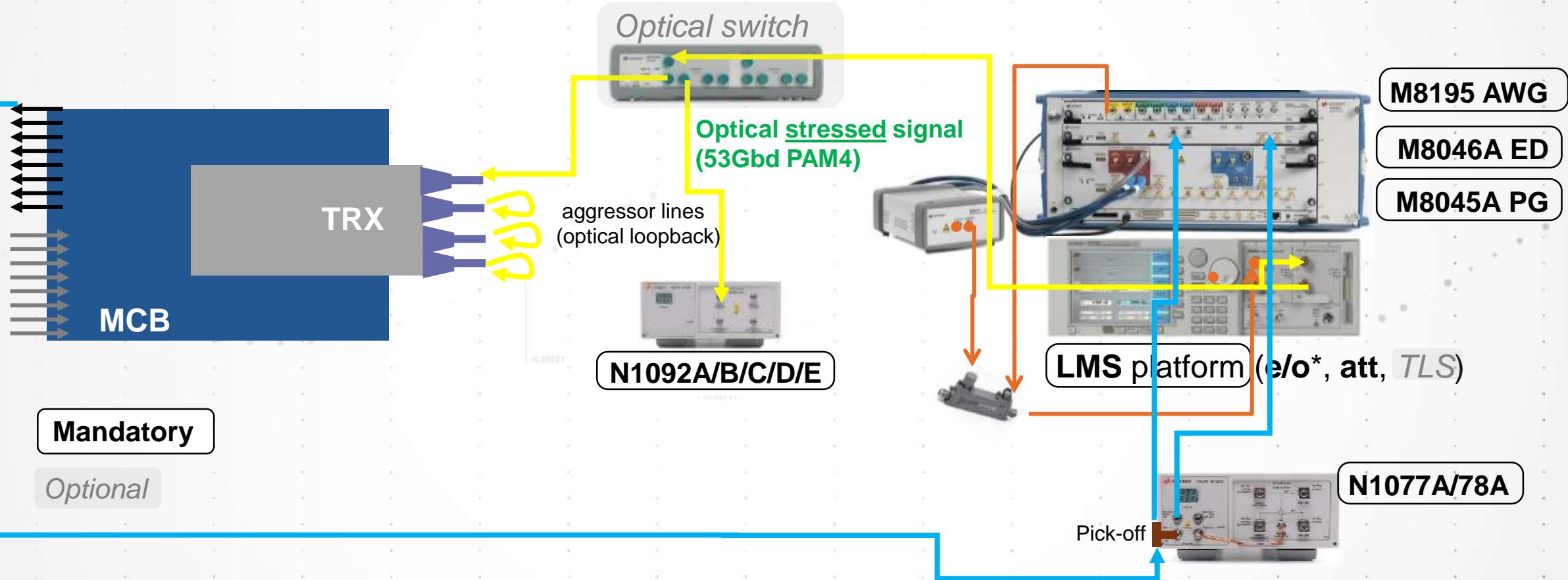
# Agenda

- 100G & 400G TRX ecosystem
- Keysight TRX test solutions
- Optical Receiver Stress Test
- **DUT Test**



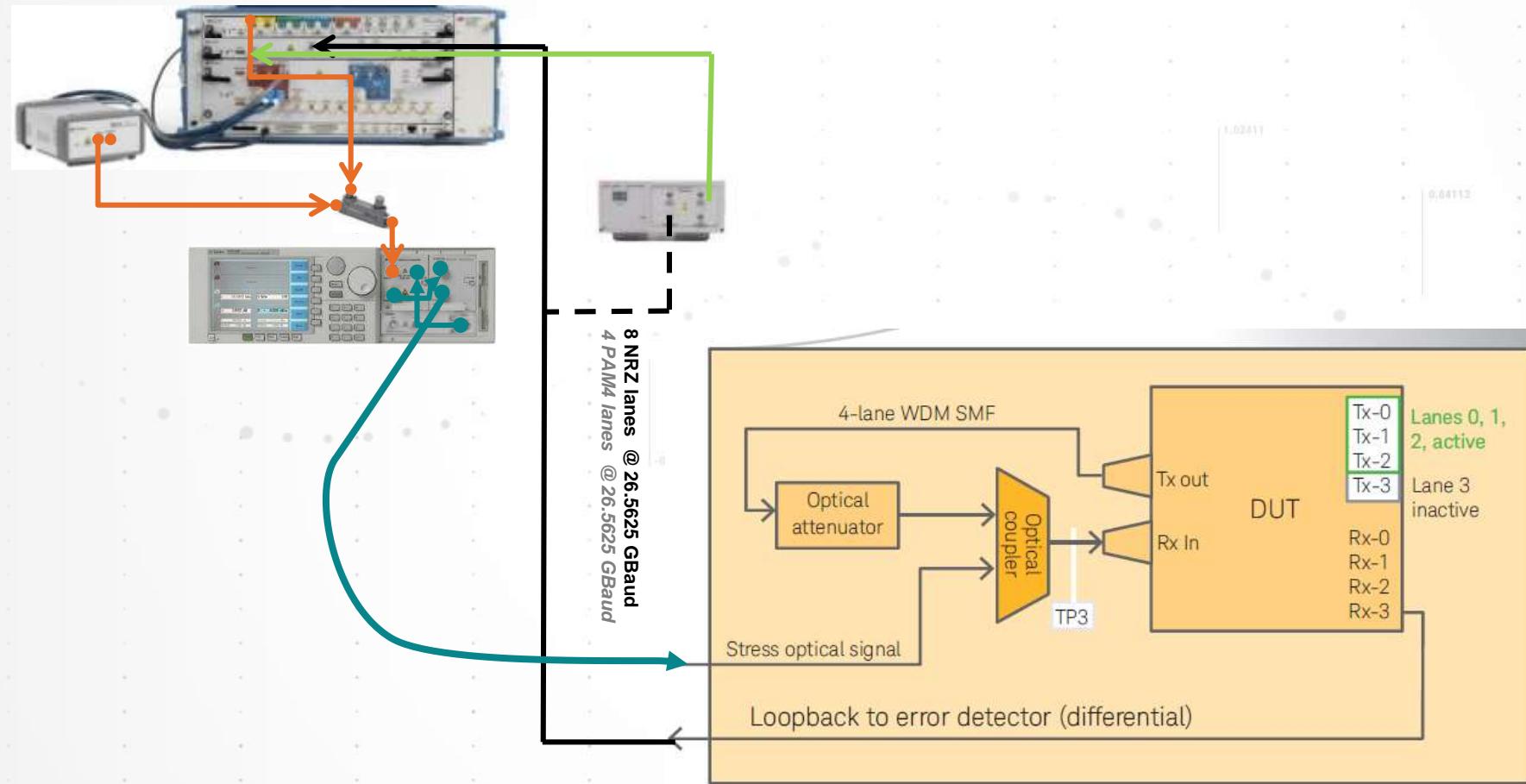
# Optical Receiver Stress Test

## HW SETUP FOR DR4



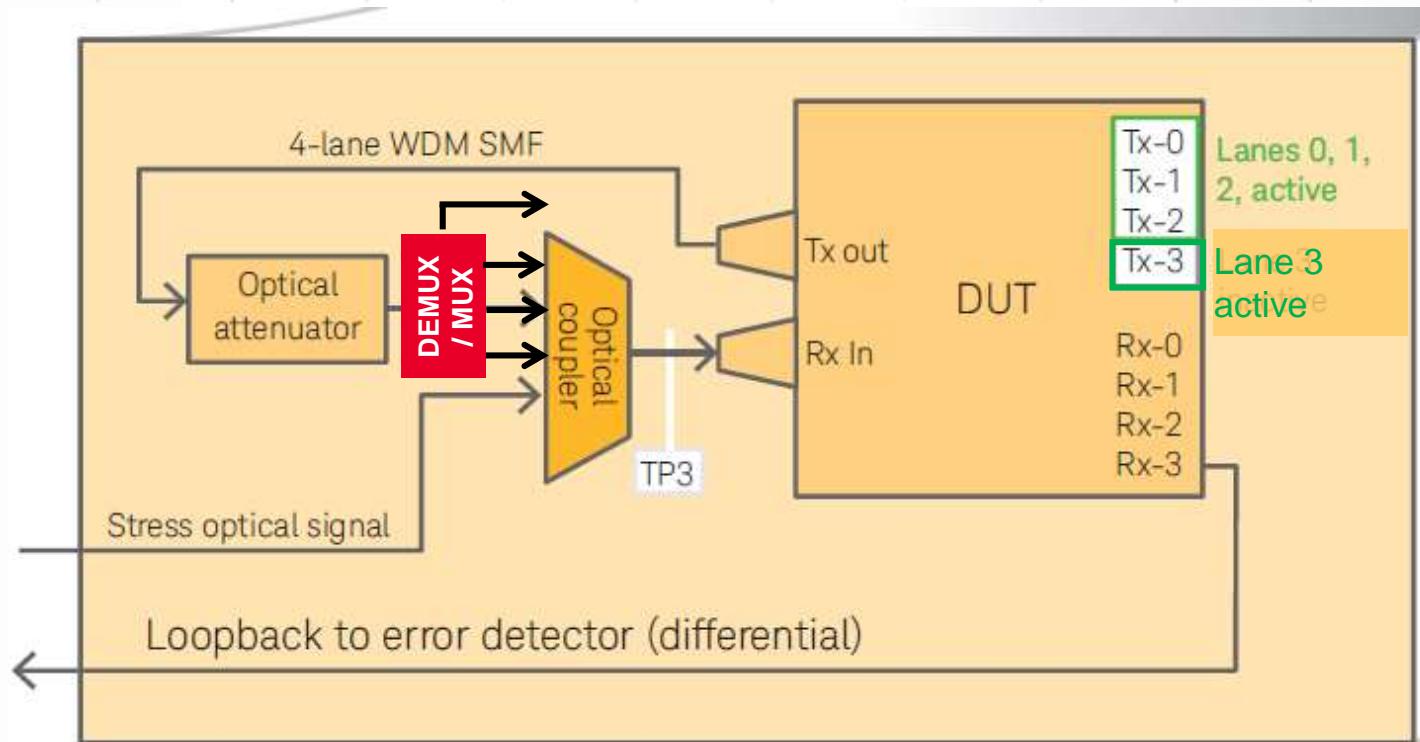
# Optical Receiver Stress Test

## MODULE PERFORMANCE MEASUREMENT

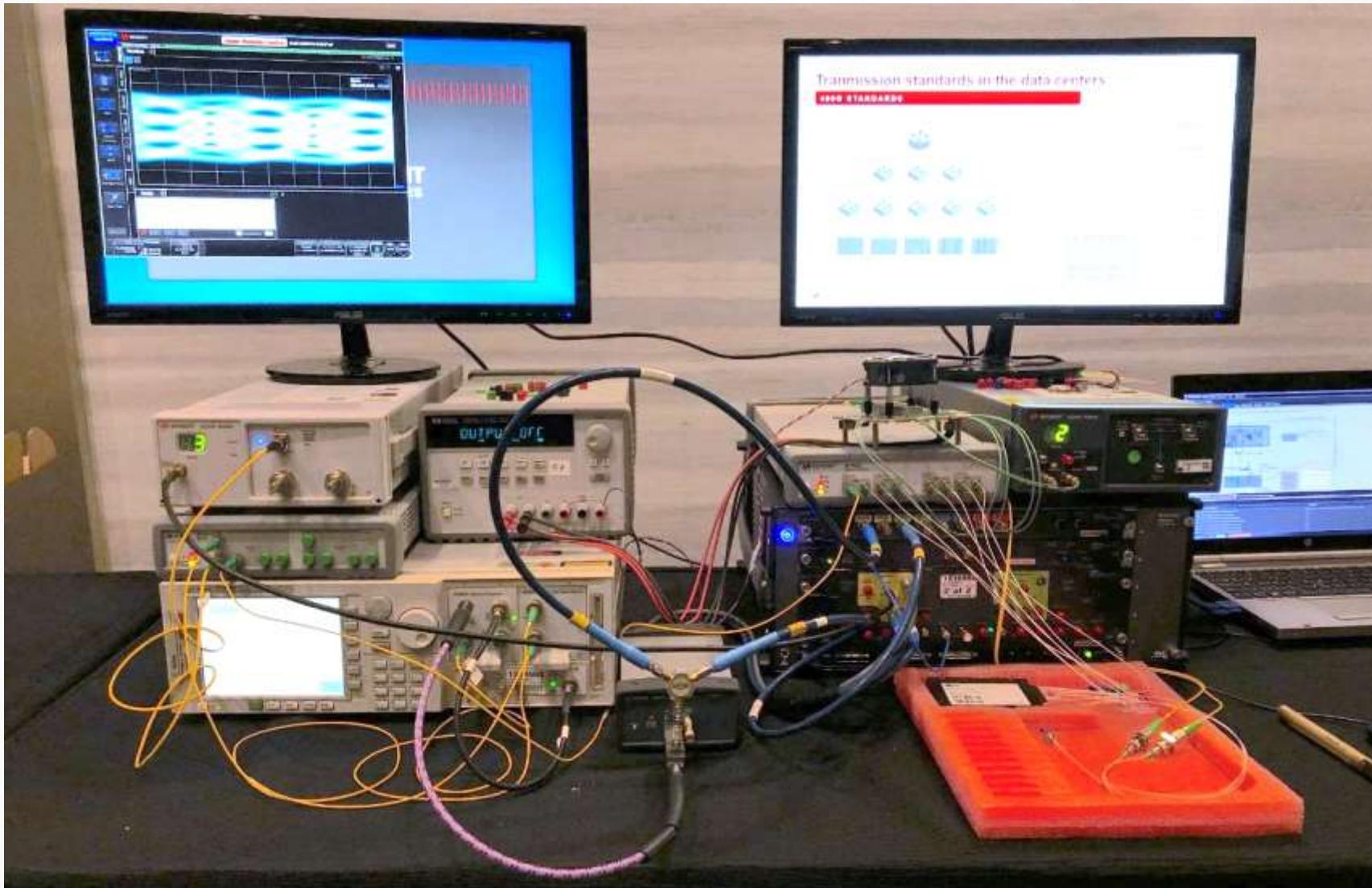


# Optical Receiver Stress Test

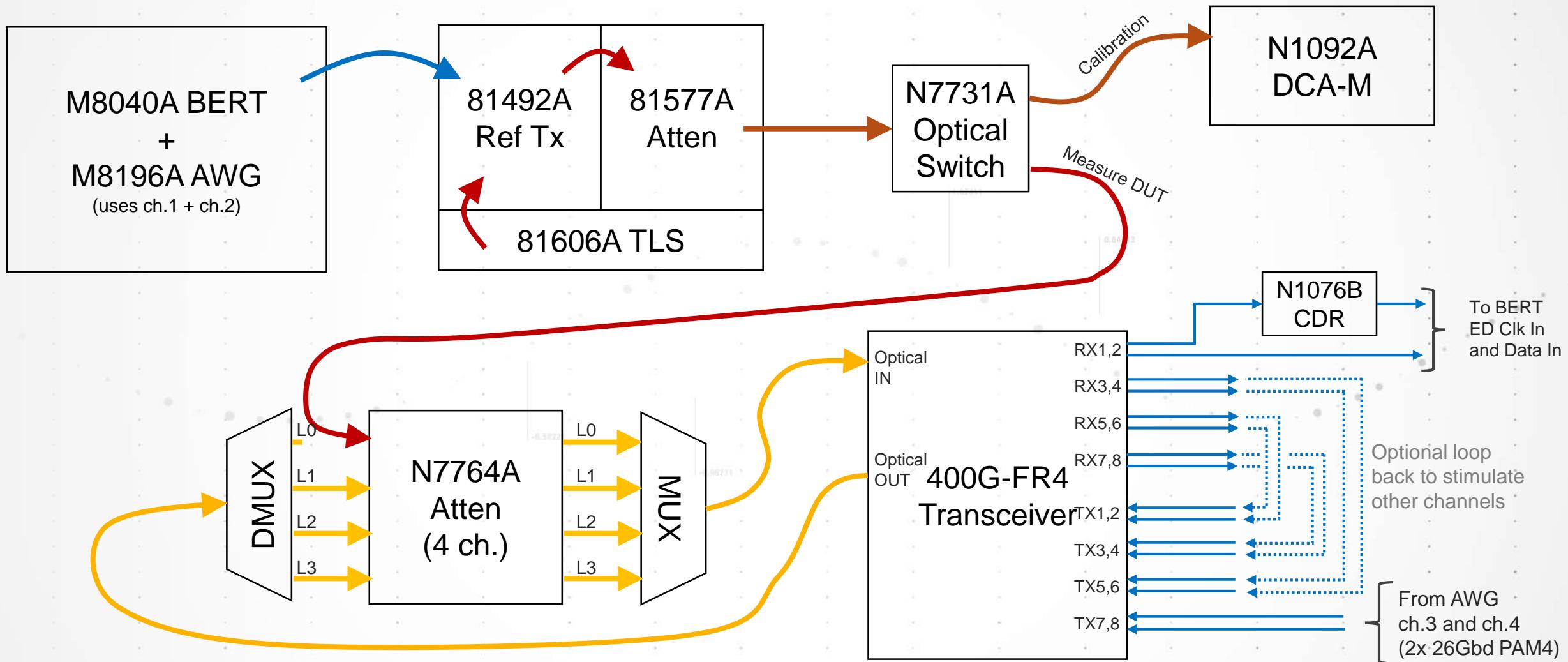
## MODULE PERFORMANCE MEASUREMENT



# ORST demo with 400G-FR4 DUT

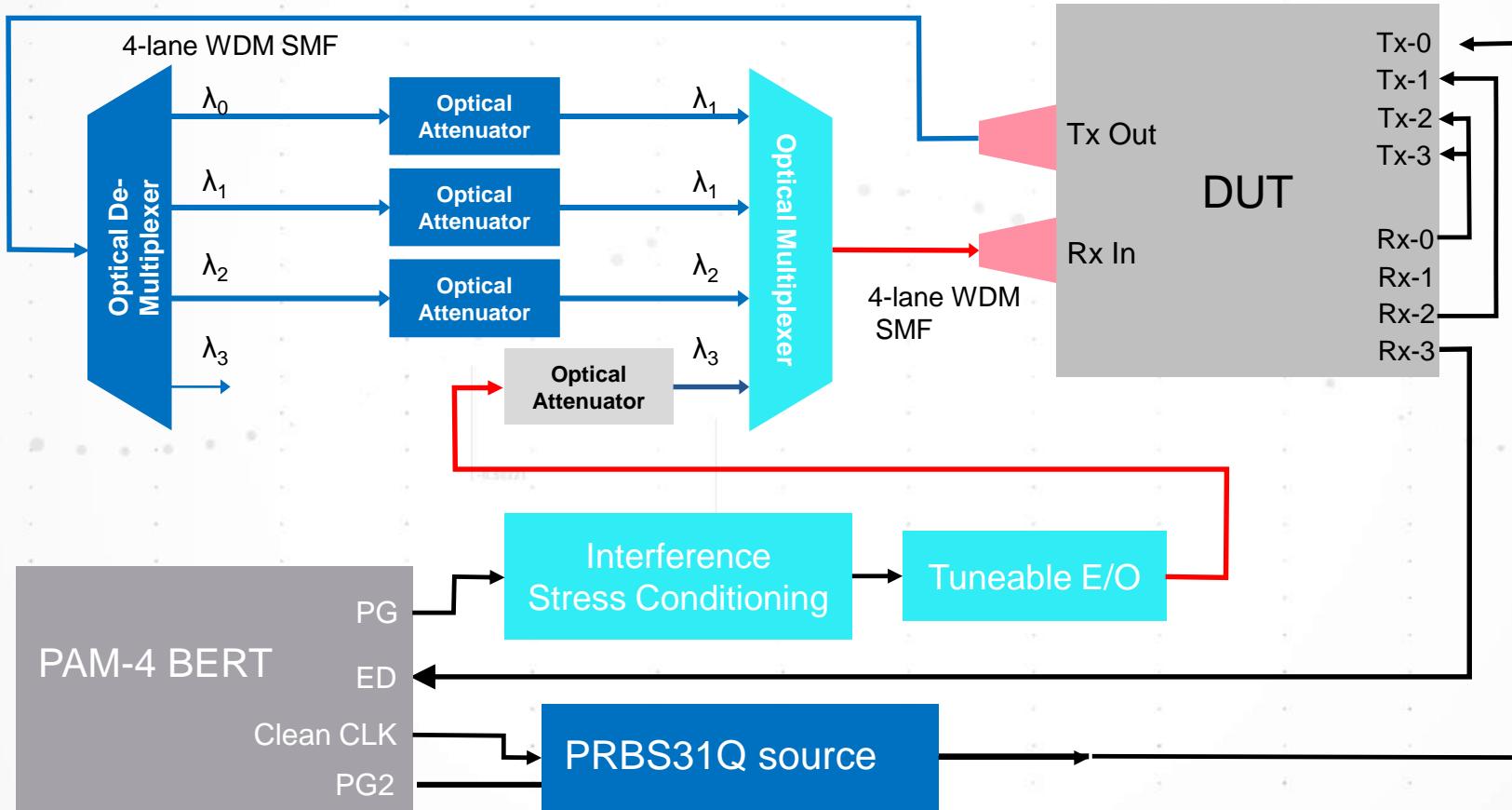


# ORST demo setup



# Optical Receiver Stress Test

## GENERATING AGGRESSOR LANES



## Part 2

# 400G Forward Error Correction

## 400G FEC 前向糾錯驗證

*Joe Lin*

**2020.12.16**

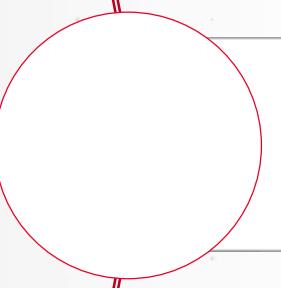
*Project Manager / Keysight Technologies*



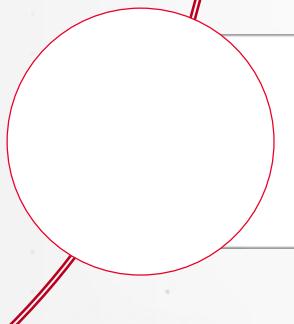
# Agenda



**Introducing N4891A**



**Use-case #1: 400G functional test & manufacturing**



**Use-case #2: 400G FEC-aware Rx test (R&D and validation)**

# Introducing N4891A

Standalone



Affordable 400G interop. and manufacturing solution with uncompromised performance

With M8040A



Industry's first 400G FEC-aware Rx test solution for bullet-proofed design and modules qualification

# Specifications

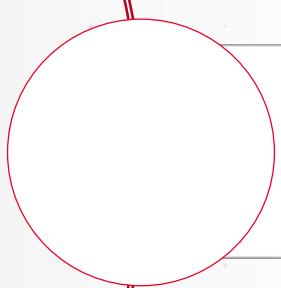
- 4 x QSFP-DD
- Per port BERT & FEC modes
  - PRBS: 8 x 26.5625 Gbaud PAM4 lanes per QSFP-DD port
  - KP4 FEC RS(544,514)
    - 400GE
    - 200/100/50GE – future SW upgrade (see roadmap)
- PHY characteristics
  - User-controlled Tx de-emphasis
  - Rx Auto-adaptive (CTLE, FFE, DFE)
  - Baud rate 26.5625 GBaud +/- 100 ppm
  - PRBS mode: PRBS31Q, PRBS23Q, PRBS20Q, PRBS13Q, PRBS9Q, PRBS7Q, SSPRQ (Tx only)
- Measurements
  - BERT mode: per lane Raw BER
  - FEC mode: pre FEC BER, FLR, error distribution across FEC code word, error density across all lanes
  - Common management Interface Spec (QSFP-DD MSA)



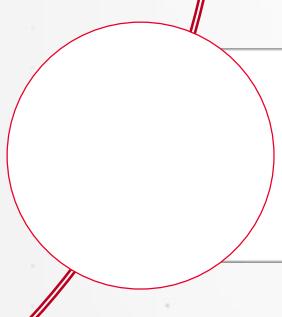
# Agenda



Introducing N4891A



**Use-case #1: 400G functional test & manufacturing**



Use-case #2: 400G FEC-aware Rx test (R&D and validation)

# N4891A – Functional & Interop Testing

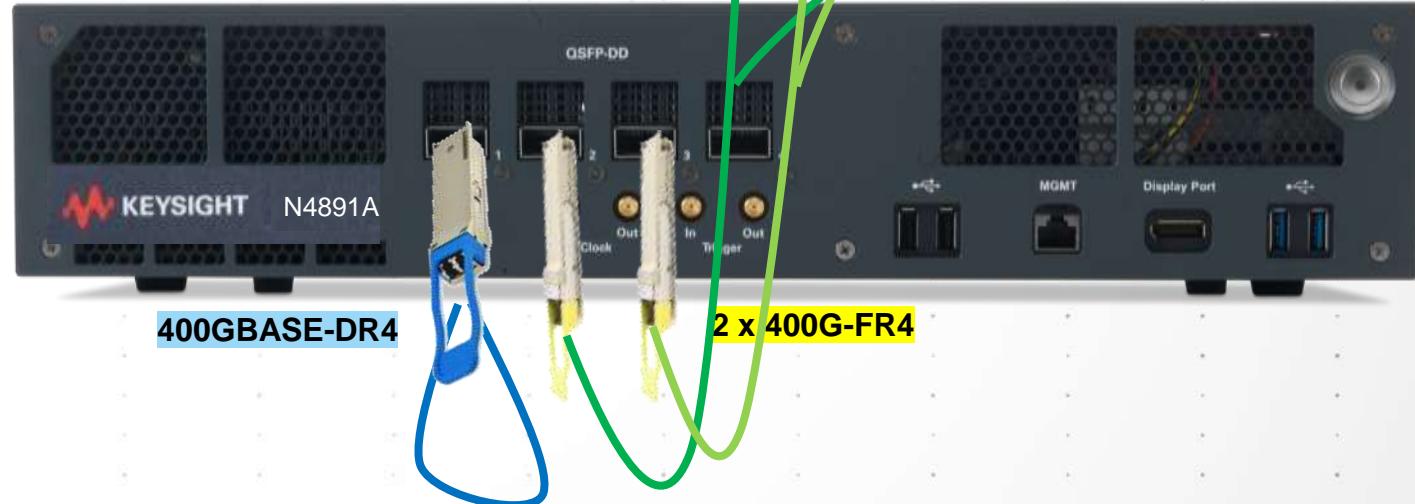
## E.G. LOOPBACK FUNCTIONAL AND RX SENSIVITY TEST

### Key Measurements per QDD port

- BERT mode (PRBS)
  - Per lane raw BER
  - Rx sensitivity
- FEC mode
  - pre FEC BER, FLR,
  - error distribution across FEC code word, error density across all lanes

A400GE-QDD

w/ FEC option



N7762A

Optical attenuator

# N4891A – 400G Manufacturing

## E.G. PILOT MANUFACTURING FOR 400G-FR4

### N1092A-40

Sampling scope with 56Gbaud integrated CDR



### N4891A



### N77xxB

Optical switch and attenuator



### Key Measurements

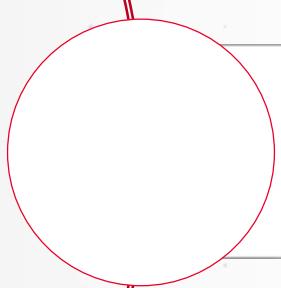
- **Tx test**
  - TDECQ (SSPRQ), OMA
  - functional test w/ reference Rx
- **Rx test**
  - Rx sensitivity (PRBS & FEC)
  - Loopback or golden DUT



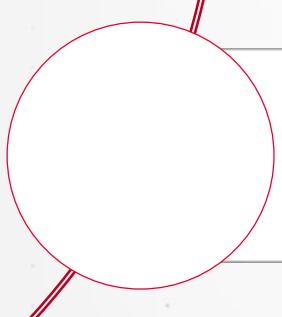
# Agenda



Introducing N4891A



Use-case #1: 400G functional test & manufacturing



**Use-case #2: 400G FEC-aware Rx test (R&D and validation)**

# Characterizing Error Mechanisms

## IEEE 802.3bs/cd

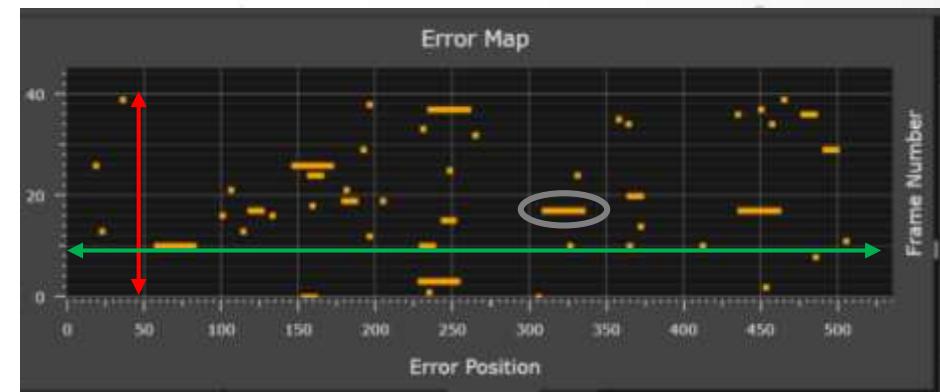
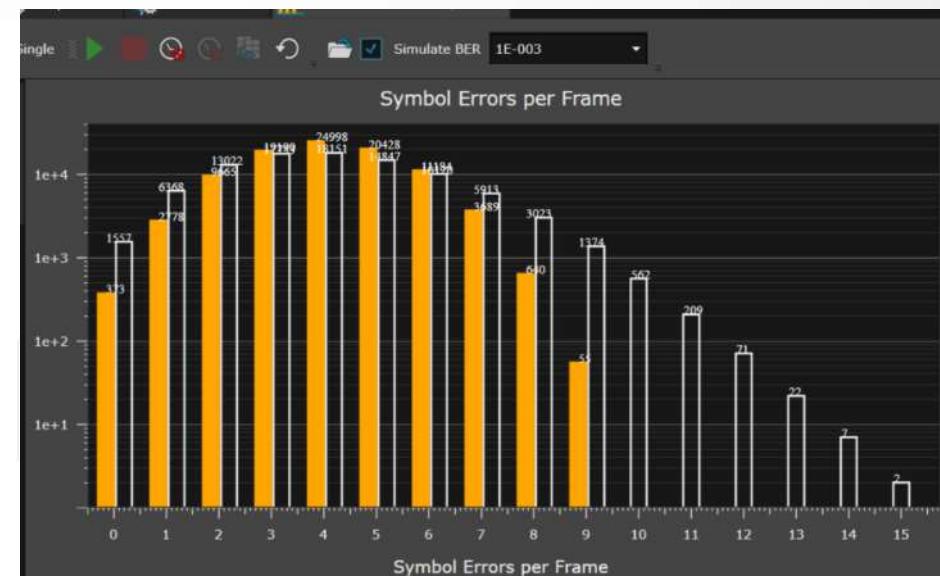
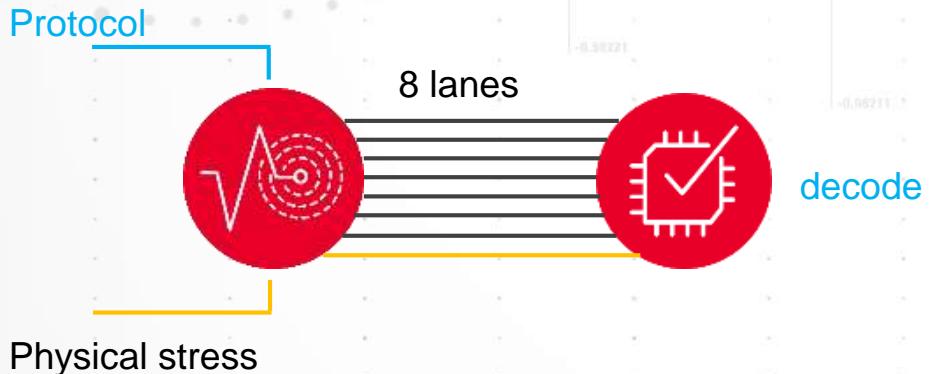
### 121.1.1 Bit error ratio

The bit error ratio (BER) when processed according to Clause 120 shall be less than  $2.4 \times 10^{-4}$  provided that the error statistics are sufficiently random that this results in a frame loss ratio (see 1.4.223) of less than  $1.7 \times 10^{-12}$  for 64-octet frames with minimum interpacket gap when processed according to Clause 120 and

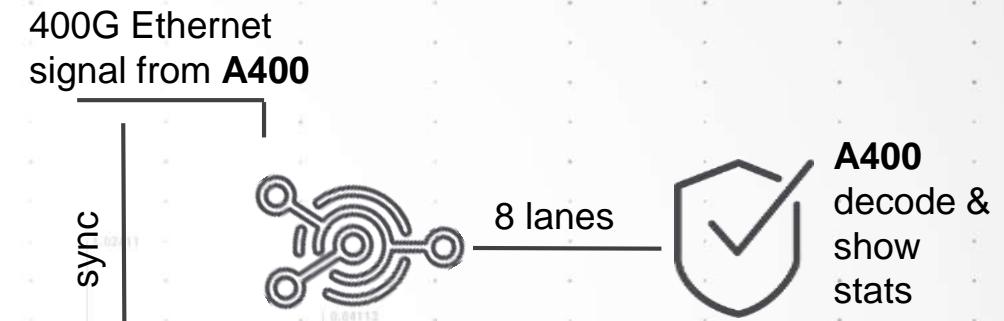
## OIF-CEI 4.0

Capable of achieving a raw Bit Error Ratio (BER) of  $10^{-6}$  or better per lane. FEC is assumed to be used to achieve a corrected BER of  $10^{-15}$  or better per lane. The baud rate includes the overhead required for FEC. The definition of FEC is outside the scope of this IA (see Appendix 16.D).

But different jitter types have different effects on TDECQ, BER and FEC



# FIRST & ONLY FEC-Aware Electrical / Optical Stress Testing



Physical stress from  
**M8040 BERT**

Synchronizes FEC lanes from 2 sources (N4891A & M8040A) seamlessly

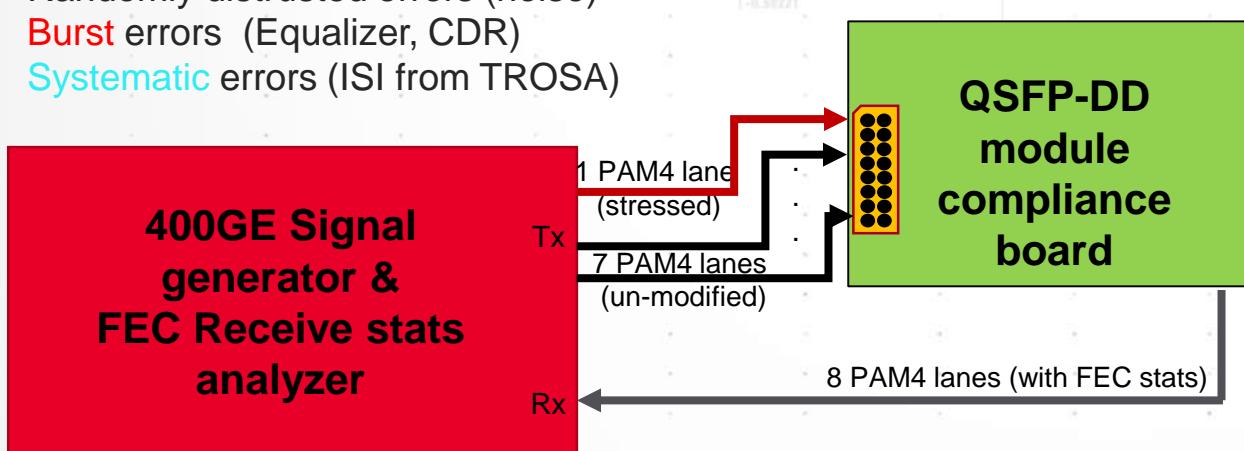
Loki injects analog stress onto (1) 50G PAM4 lane

See real-time impact on FEC performance with N4891A Receive Stats (Port 4)

# 400GAUI-8 - Characterizing Error Mechanisms



- Randomly distributed errors (noise)
- **Burst** errors (Equalizer, CDR)
- **Systematic** errors (ISI from TROSA)



A	B	I
Physical Lane	PCS Lane Marker	FEC Corrected Bit
Totals		
0	●	1.02e-004
1	●	6.81e-005
2	●	1.06e-009
3	●	6.83e-009
4	●	1.09e-009
5	●	3.53e-010
6	●	1.81e-009
7	●	2.84e-008
8	●	5.68e-010
9	●	1.11e-010
10	●	6.06e-009
11	●	1.29e-009
12	●	9.40e-009
13	●	6.27e-008
14	●	1.68e-009
15	●	3.20e-008

Below FEC limit

FEC Frame Loss Ratio	9.96e-010
pre FEC Bit Error Rate	1.75e-005
FEC Codeword with 0 errors	11,222,349
FEC Codeword with 1 error	724,583,051
FEC Codeword with 2 errors	125,727,391
FEC Codeword with 3 errors	26,741,564
FEC Codeword with 4 errors	6,356,835
FEC Codeword with 5 errors	1,627,784
FEC Codeword with 6 errors	442,411
FEC Codeword with 7 errors	125,802
FEC Codeword with 8 errors	36,891
FEC Codeword with 9 errors	11,517
FEC Codeword with 10 errors	3,496
FEC Codeword with 11 errors	1,030
FEC Codeword with 12 errors	377
FEC Codeword with 13 errors	91
FEC Codeword with 14 errors	39
FEC Codeword with 15 errors	8
FEC Uncorrectable Codewords	6
FEC Transcoding Uncorrectable Events	6
Transmit Arp Gratuitous	0
Transmit Arp Reverse	0

FEC has no margin!

FLR target failed

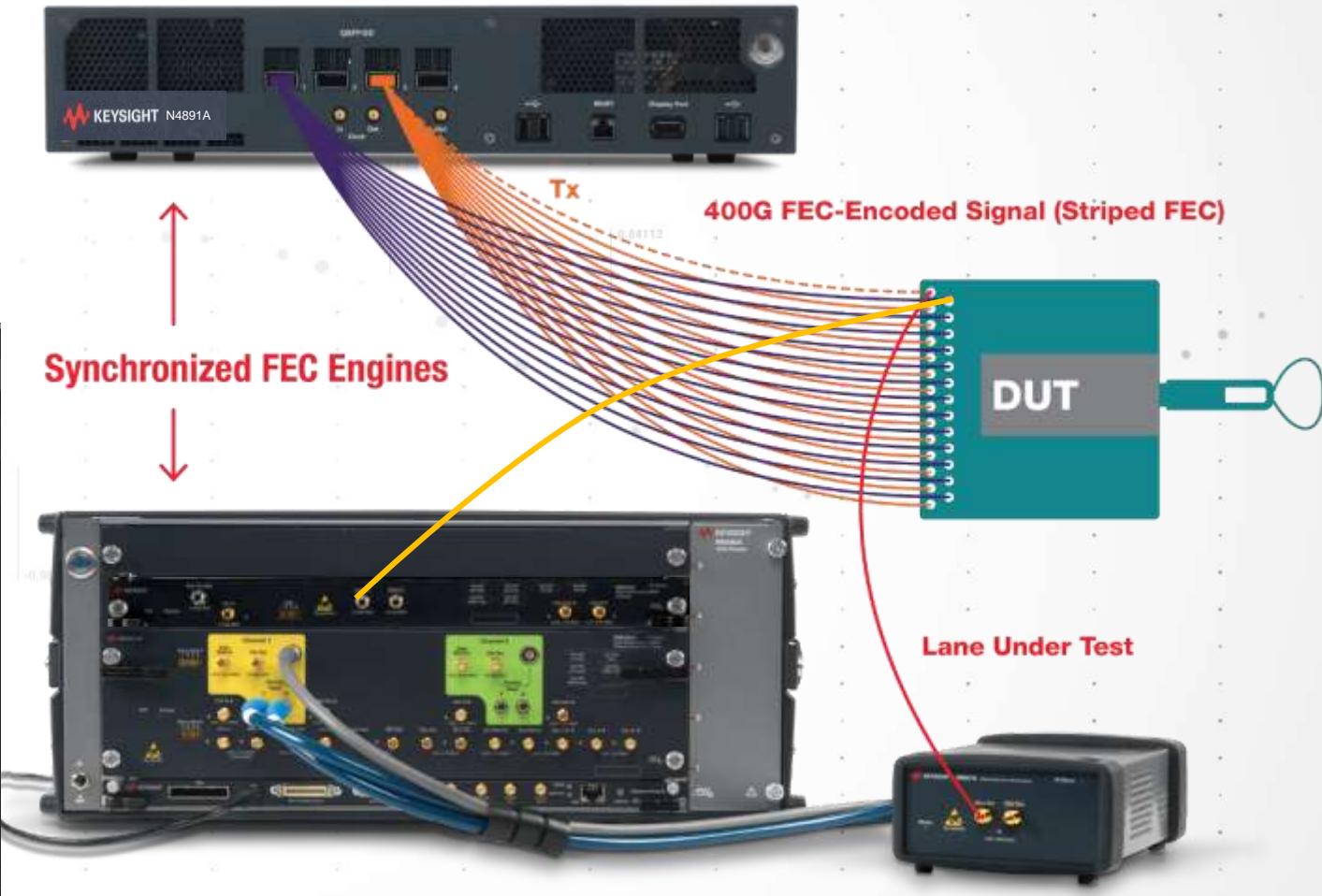
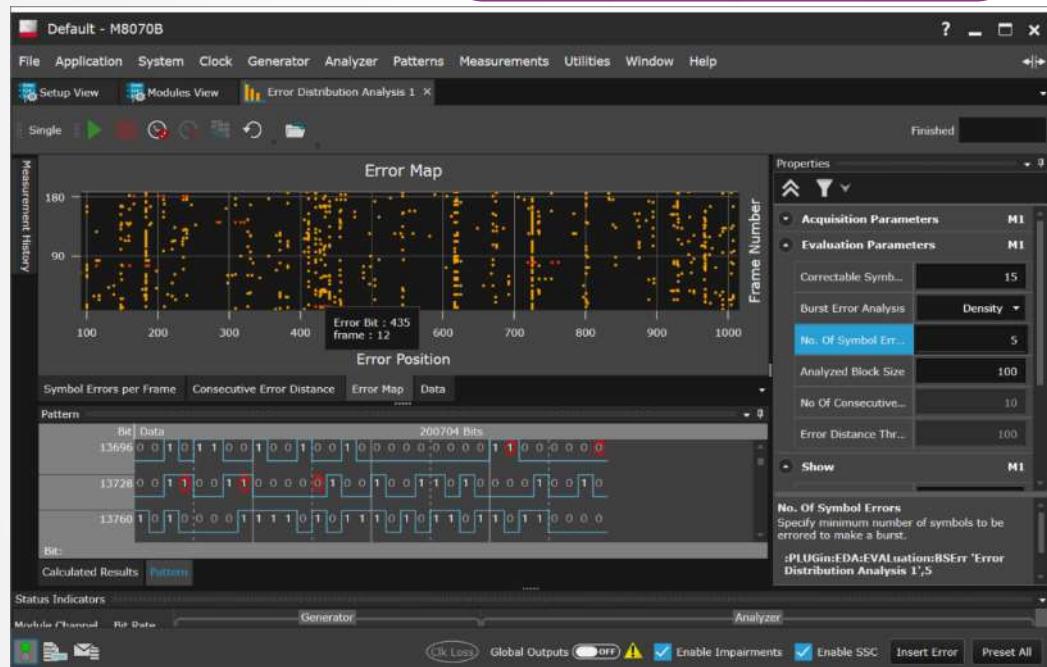
# N4891A – FEC-aware Compliance Testing

400GAUI-8 // PHY CHIP OR GEARBOX

## Multi-lane analysis

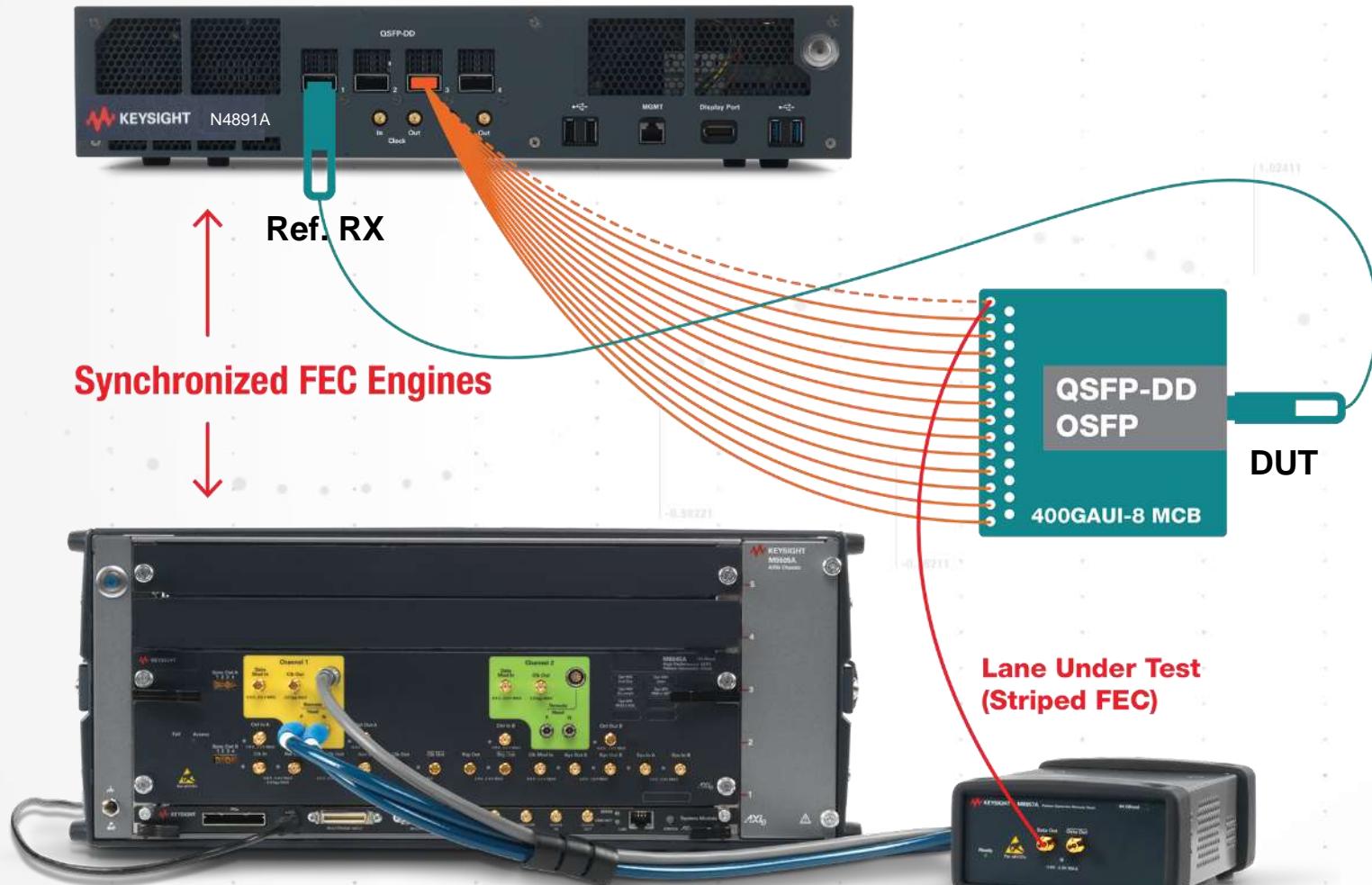
### Tx & Rx test

- Post FEC (FLR)
- Pre-FEC BER on all lanes  
(KP4 or PRBS mode)



# N4891A – FEC-aware Compliance Testing

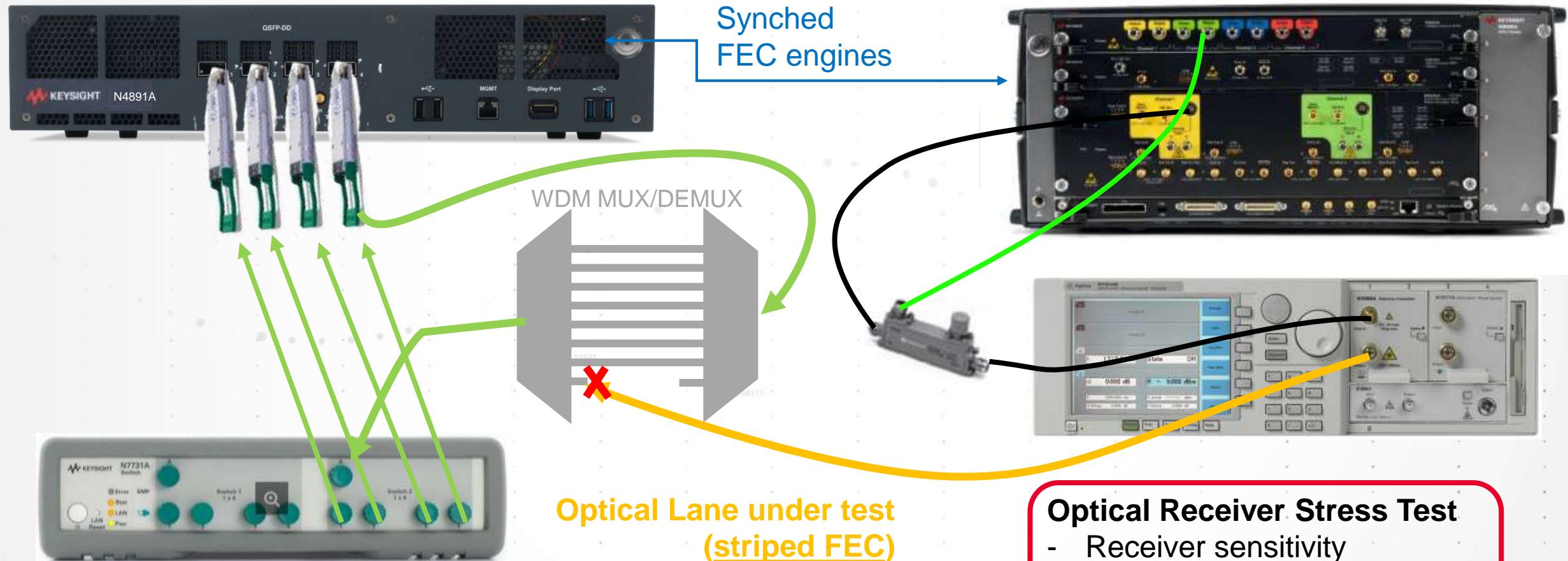
400GAUI-8 // OPTICAL TRANSCEIVER MODULE



- ✓ Jtol measurements
  - Post FEC (FLR)
  - Pre-FEC
- ✓ Sensitivity measurement
- ✓ Rx (FEC) and Tx test (PRBS)
- ✓ PMDs agnostic
- ✓ Compliance testing for
  - OIF-CEI 56G-VSR
  - IEEE 802.3bs
- ✓ configuration w/ internal loopback possible

# N4891A – FEC-aware Compliance Testing

400G PMD // 400GBASE-FR8



- Optical Receiver Stress Test**
- Receiver sensitivity
  - Jitter tolerance
  - BER, FLR, FEC margin

# N4891A – FEC-aware Compliance Testing

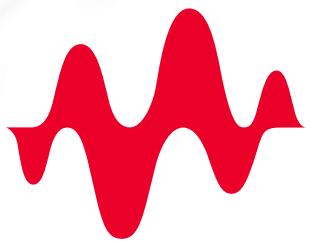
## 400GAUI-8 INTERFACE

- Error analysis with stripped FEC
  - one stress lane
  - Two stress lanes - *future SW upgrade*
  - seven aggressor lanes
- Compliant with
  - IEEE 400GAUI-8 specifications
  - 200GAUI-4 & 100GAUI-2 - *future SW upgrade*
  - IEEE 400GBASE-FR8/-LR8/(-SR8) specifications
  - 400GBASE-DR4/-FR4) - *future SW upgrade*
  - 200GBASE-FR4/-LR4 - *future SW upgrade*
  - 100GBASE-DR- *future SW upgrade*

Unique combination of  
BERT platform & protocol tester



Detect design issues affecting FEC performance  
early in the design or qualification process



**KEYSIGHT**  
TECHNOLOGIES