



KEYSIGHT
WORLD 2019

5G Network Equipment, Device Standards, and Conformance Tests

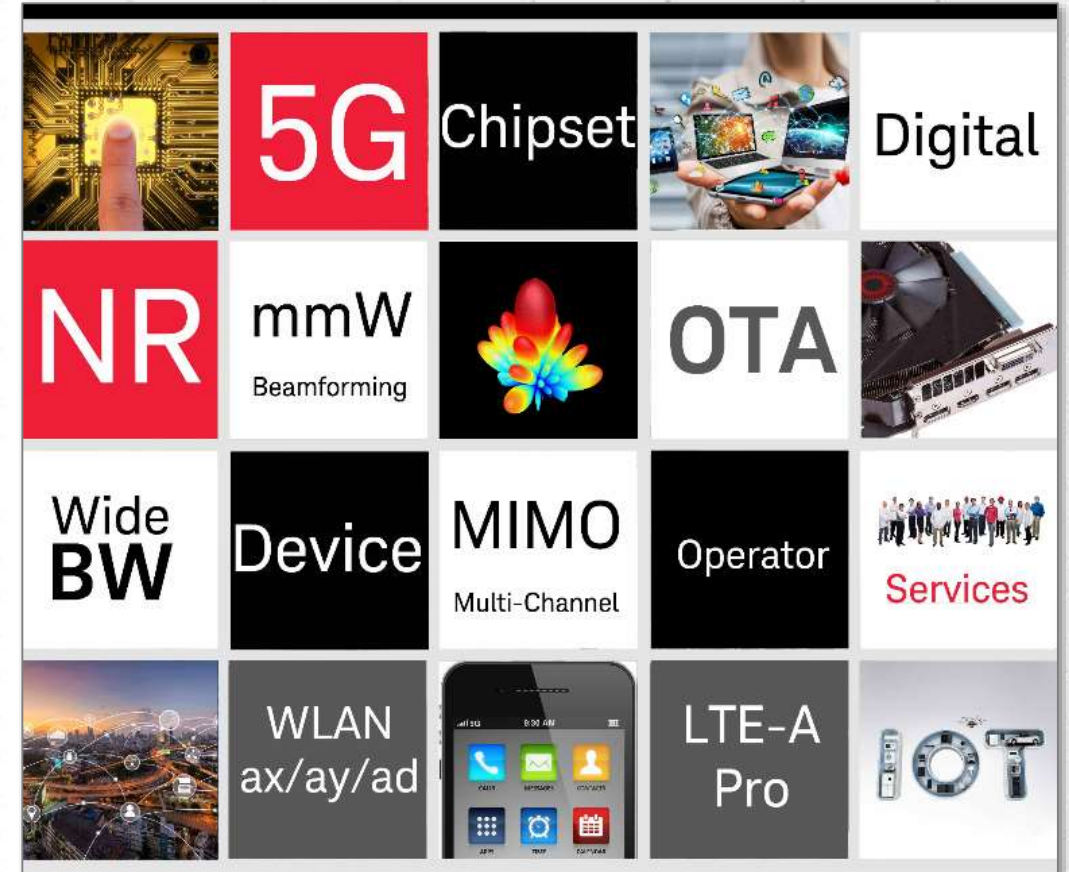
Keysight Technologies

Alex Liang, Project Manager



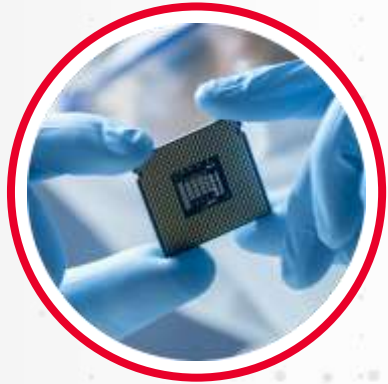
Agenda

- 5G Ecosystem: Why Conform?
- 5G Standards and Test Requirements
- Base Station Conformance Tests
- Device Conformance and Carrier Acceptance Tests
- Considerations for Radiated Tests
- Summary, Q&A



5G Ecosystem

COMPONENTS & CHIPSETS



Rolling out new designs for sub-6 GHz and mmWave operating bands

DEVICE MANUFACTURERS



First fixed wireless access mmWave CPE introduced in 2018 and first smartphones to roll out in 2019

NETWORK EQUIPMENT MANUFACTURERS



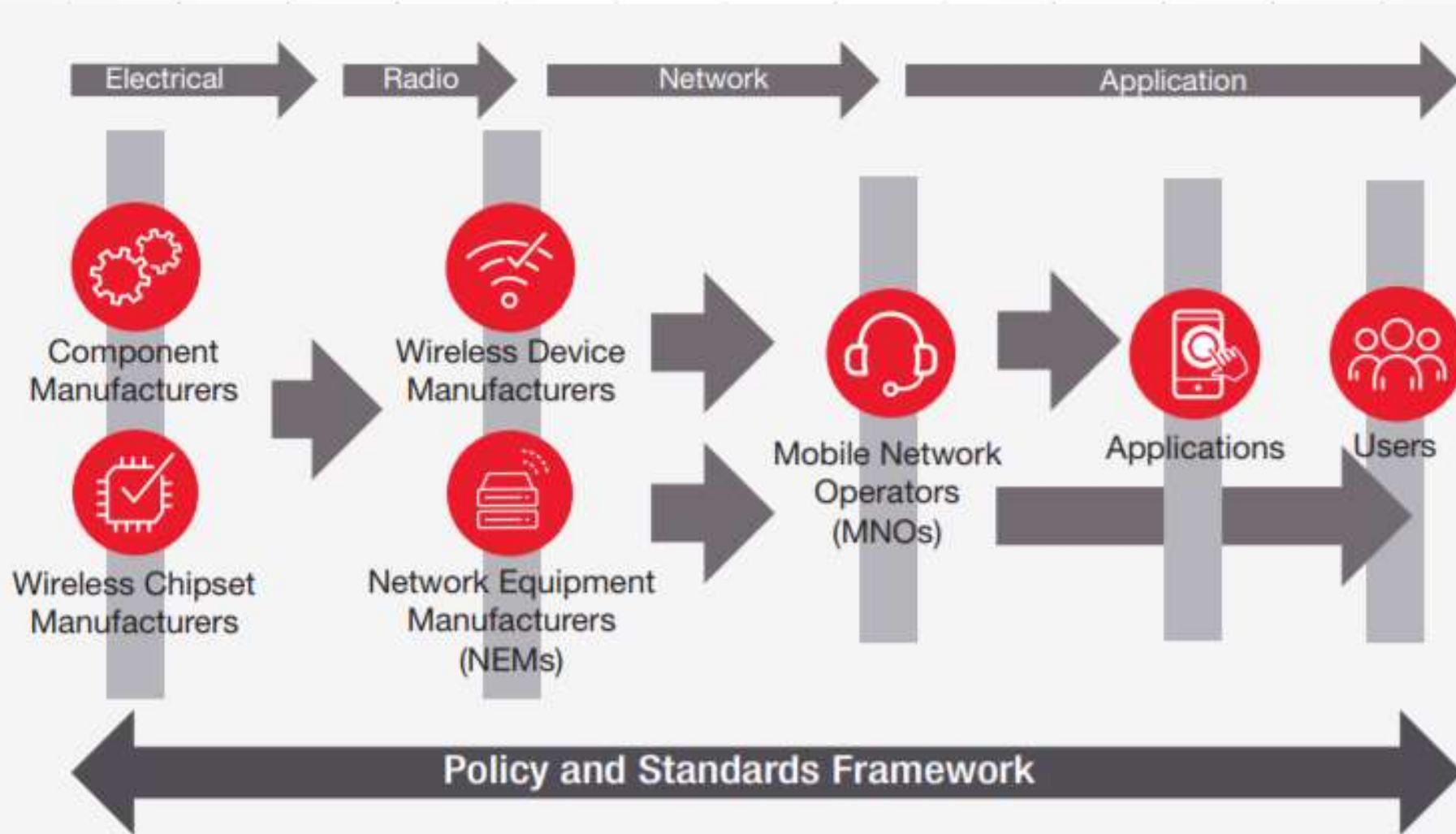
Upgrading existing infrastructure to address NR, massive MIMO, and mmWave operating bands

MOBILE NETWORK OPERATORS



Accelerating trials and deployment in select cities

5G Ecosystem



Performance
Requirements

Conformance
Tests

Carrier Acceptance
Tests

5G Standards and Test Requirements



3GPP Organization

Today's Focus

RAN 5G NR Summary Reference Documents

<u>TSG RAN</u> Radio Access Network	Study Items for New Radio Access Technology	Resulting Specifications
RAN WG1 Radio Layer 1 spec	TR 38.802 Physical Layer Aspects	TS 38.201 –TS 38.215
RAN WG2 Radio Layer 2 spec Radio Layer 3 RR spec	TR 38.804 Radio Interface Protocol Aspects	TS 38.300–TS 38.331
RAN WG3 lub spec, lur spec, lu spec UTRAN O&M requirements	TR 38.801 Radio Access Architecture and Interface	TS 38.401 – TS 38.474
RAN WG4 Radio Performance Protocol Aspects	TR 38.803 RF and Coexistence aspects	TS 38.101 – TS 38.173 (+38.307)
RAN WG5 Mobile Terminal Conformance Testing	TR 38.80x	TS 38.508 – TS 38.533

5G NR Operating Bands

Operating band	Uplink (UL) BS receive / UE transmit	Downlink (DL) BS transmit / UE receive	Duplex Mode
n1	1920 – 1980 MHz	2110 – 2170 MHz	FDD
n2	1850 – 1910 MHz	1930 – 1990 MHz	FDD
n3	1710 – 1785 MHz	1805 – 1880 MHz	FDD
n5	824 – 849 MHz	869 – 894 MHz	FDD
n7	2500 – 2570 MHz	2620 – 2690 MHz	FDD
n8	880 – 915 MHz	925 – 960 MHz	FDD
n12	699 – 716 MHz	729 – 746 MHz	FDD
n20	832 – 862 MHz	791 – 821 MHz	FDD
n25	1850 – 1915 MHz	1930 – 1995 MHz	FDD
n28	703 – 748 MHz	758 – 803 MHz	FDD
n34	2010 – 2025 MHz	2010 – 2025 MHz	TDD
n38	2570 – 2620 MHz	2570 – 2620 MHz	TDD
n39	1880 – 1920 MHz	1880 – 1920 MHz	TDD
n40	2300 – 2400 MHz	2300 – 2400 MHz	TDD
n41	2496 – 2690 MHz	2496 – 2690 MHz	TDD
n50	1432 – 1517 MHz	1432 – 1517 MHz	TDD
n51	1427 – 1432 MHz	1427 – 1432 MHz	TDD
n66	1710 – 1780 MHz	2110 – 2200 MHz	FDD
n70	1695 – 1710 MHz	1995 – 2020 MHz	FDD
n71	663 – 698 MHz	617 – 652 MHz	FDD
n74	1427 – 1470 MHz	1475 – 1518 MHz	FDD
n75	N/A	1432 – 1517 MHz	SDL
n76	N/A	1427 – 1432 MHz	SDL
n77	3300 – 4200 MHz	3300 – 4200 MHz	TDD
n78	3300 – 3800 MHz	3300 – 3800 MHz	TDD
n79	4400 – 5000 MHz	4400 – 5000 MHz	TDD
n80	1710 – 1785 MHz	N/A	SUL
n81	880 – 915 MHz	N/A	SUL
n82	832 – 862 MHz	N/A	SUL
n83	703 – 748 MHz	N/A	SUL
n84	1920 – 1980 MHz	N/A	SUL
n86	1710 – 1780MHz	N/A	SUL

New: March 2019: RAN4 extended FR1 up to 7.125 GHz for unlicensed spectrum in U.S and Europe (Release-15)

Frequency Range 1 (FR1)
410 MHz to 7.125 GHz

Frequency Range 2 (FR2)
24.25 GHz to 52.6 GHz

Operating band	Uplink (UL) BS receive / UE transmit	Downlink (DL) BS transmit / UE receive	Duplex Mode
n257	26.5 – 29.5 GHz	26.5 – 29.5 GHz	TDD
n258	24.25 – 27.5 GHz	24.25 – 27.5 GHz	TDD
n260	37 – 40 GHz	37 – 40 GHz	TDD
n261	27.5 – 28.35 GHz	27.5 – 28.35 GHz	TDD

Source: 3GPP TS 38.101-1/2, 38.104-1/2

Overview of 3GPP 5G New Radio Standards

CONFORMANCE TESTS

5G NR RAN Working Groups

	Study Items for New Radio Access Technology	Specifications
RAN1 Radio Layer 1	TR 38.802 Physical Layer Aspects	TS 38.201 – TS 38.215
RAN2 Radio Layer 2 and Radio Layer 3	TR 38.804 Radio Interface Protocol Aspects	TS 38.300 - TS 38.331
RAN3 Radio Network	TR 38.801 Radio Access Architecture and Interface	TS 38.401 – TS 38.474
RAN4 Radio Performance and Protocol	TR 38.803 RF and co-existence aspects	TS 38.101 – TS 38.173 (+38.307)
RAN5 Mobile Terminal Conformance Tests	TR 38.80x	TS 38.508 – TS 38.533



5G NR Conformance Tests

<u>Base Stations</u> TS 38.141-1 TS 38.141-2	Part 1: Conducted testing in FR1 Part 2: <u>Radiated</u> testing for specific base station configurations in FR1 & FR2
<u>Devices</u> TS 38.521-1/2/3/4 TS 38.523-1/2/3 TS 38.533	<u>5G NR UE Radio Transmission & Reception:</u> <ol style="list-style-type: none">1. Range 1 Standalone – FR1 Conducted Tests2. Range 2 Standalone – <u>FR2 Radiated Tests</u>3. Range 1 & 2 Interworking operation with other ratios (NSA) – FR1 Conducted & <u>FR2 Radiated</u>4. Performance requirements (SA and NSA) – FR1 Conducted & <u>FR2 Radiated</u> <u>5GS UE Protocol Conformance</u> <ol style="list-style-type: none">1. Protocol2. Applicability of protocol test cases3. Protocol test suites <u>5G NR Radio Resource Management (RRM)</u> (SA and NSA) – FR1 Conducted & <u>FR2 Radiated</u>

Other UE Conformance docs: TS 38.508-1/2, TS 38.509, TS 38.522

Base Station Conformance Tests

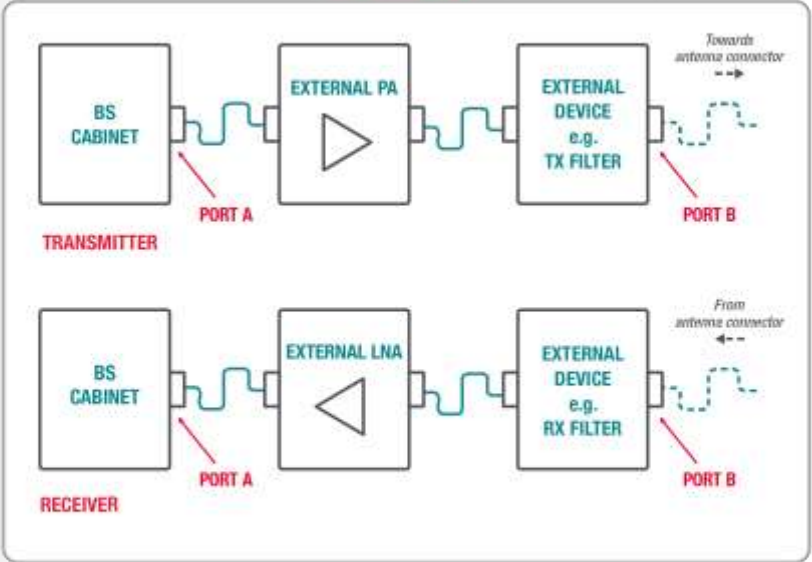


Base Station Conformance Tests

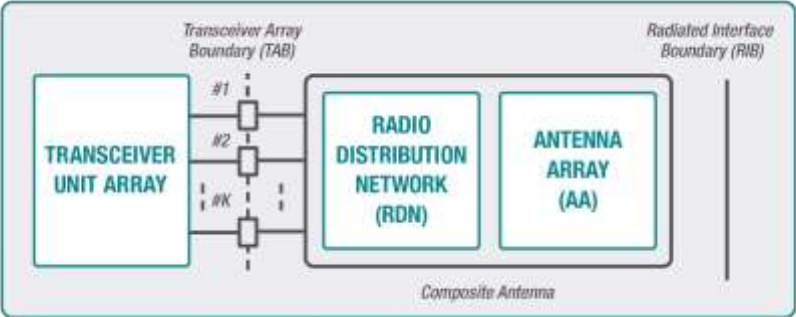
- Base Station Classes
- Conducted vs Radiated Test

Type 1-C	Type 1-H	Type 1-0	Type 2-0
FR1 Only Conducted requirements defined at individual antenna connectors	FR1 Conducted requirements defined at individual TAB connectors or OTA requirements defined by RIB	FR1 Only OTA requirements defined at the RIB	FR2 Only OTA requirements defined at the RIB

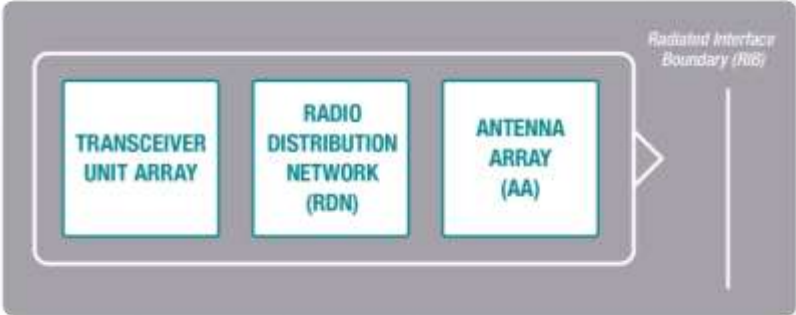
TYPE 1C
CONDUCTED



TYPE 1H
CONDUCTED AT TAB - OTA AT RIB



TYPE 1-0 & 2-0
OTA AT RIB



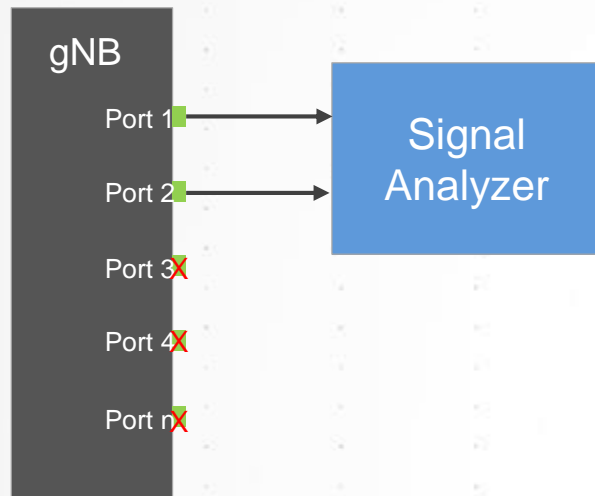
Base Station Conformance Tests Summary – FR1 & FR2

CONDUCTED & RADIATED CONFORMANCE TESTS

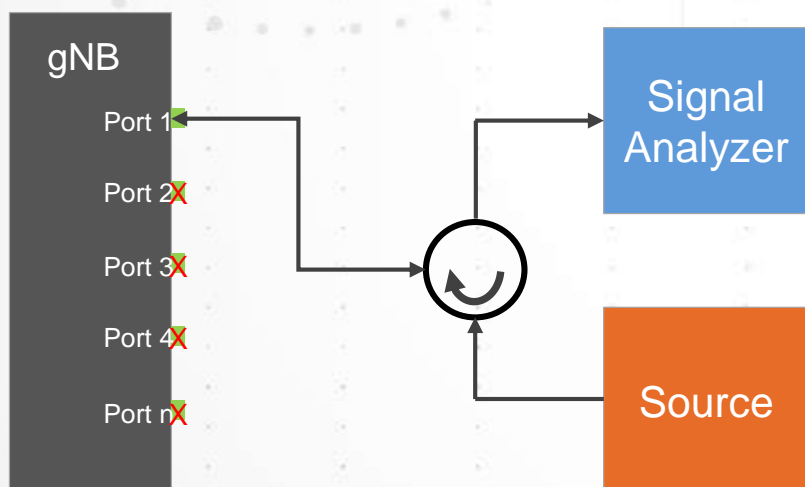
Transmitter Characteristics (chp 6)	Receiver Characteristics (chp 7)	Performance Requirements (chp 8)
<ul style="list-style-type: none"> • Transmit Power (TRP, EIRP) • Output Power Dynamics (RE Power Control DR / Total Power DR / ...) • Transmit On/Off Power (TX Off Power / TX Transient Period) • Signal Quality (Freq Error / EVM / Time Alignment Error /...) • Unwanted Emissions (Occupied BW / ALCR / Spurious /...) • Intermodulation (Interference...) 	<ul style="list-style-type: none"> • Reference Sensitivity Level • Dynamic Range • In-Band Selectivity & Blocking Characteristics (Adjacent Channel Selectivity (ACS)) • Out-of-Band Blocking • Spurious Emissions • Intermodulation • In-channel Selectivity 	<ul style="list-style-type: none"> • Performance Requirements for PUSCH <ul style="list-style-type: none"> • Multipath fading propagation for given SNR • Performance Requirements for PUCCH <ul style="list-style-type: none"> • ACK missed detection • NACK to ACK detection • UCI BLER performance (format 2) • Performance Requirements for PRACH <ul style="list-style-type: none"> • False alarm probability and missed detection
CURRENT STATUS March 2019		
<ul style="list-style-type: none"> • Tx 6.5 OTA Tx on/off power and transient for FR2 still under discussion • Test model for Tx test still under discussion 		Receiver performance not complete – target June 2019

Examples gNB Conducted Conformance Test Setup

Transmitter Time Alignment Error Test Config (Chp 6)



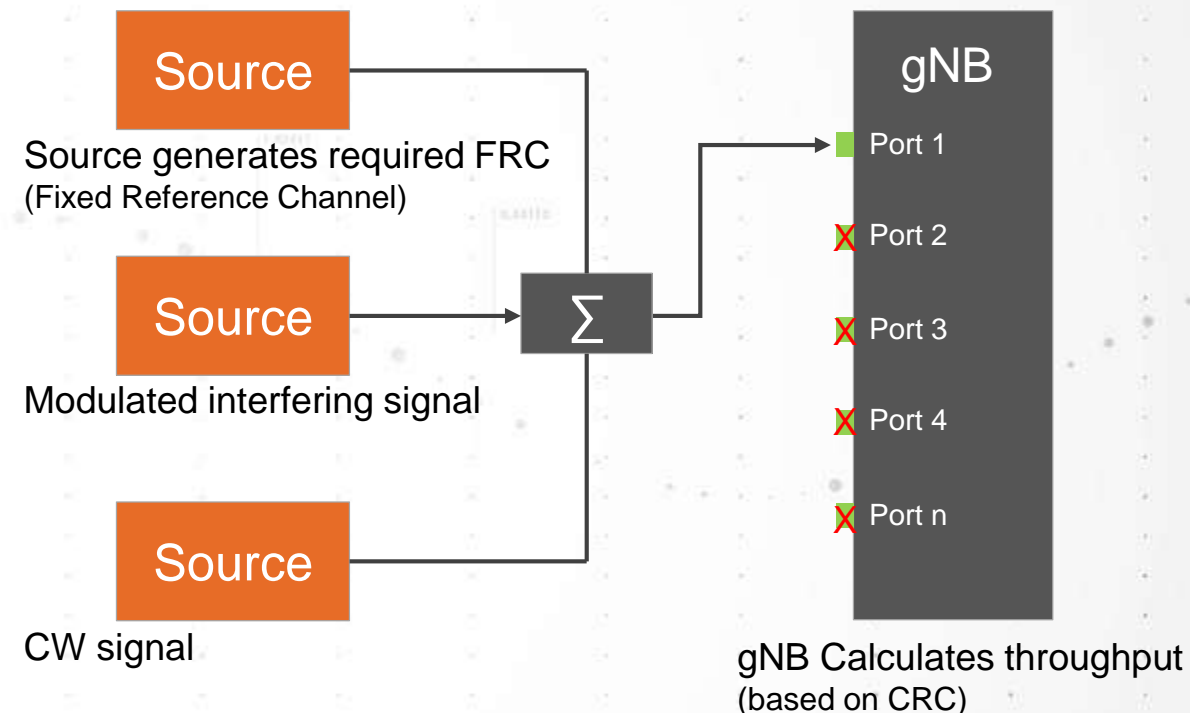
Transmitter Intermodulation Config (Chp 6)



Modulated interfering signal

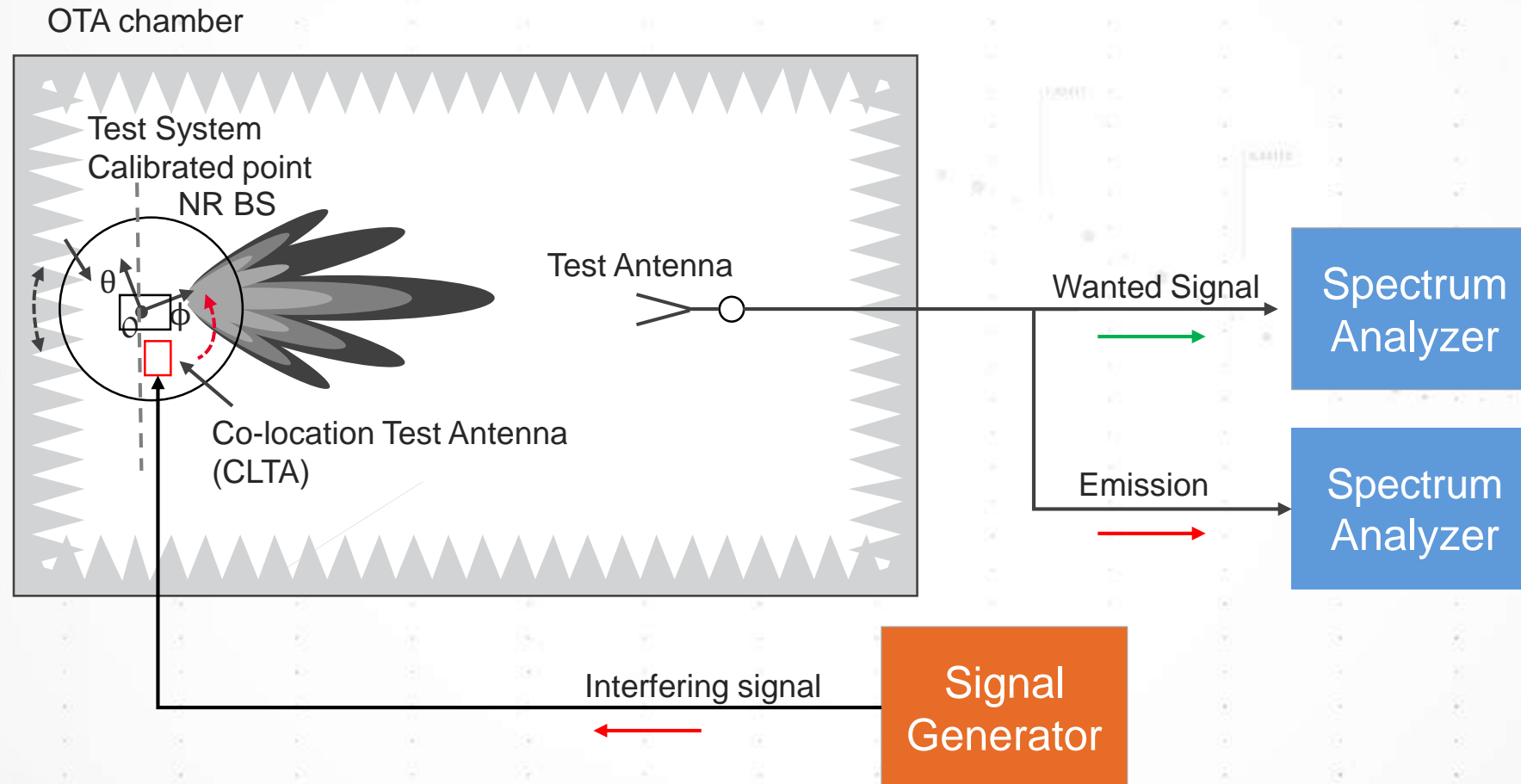
Receiver Intermodulation Tests (Chp 7)

(Blocking & Selectivity tests similar)



Example: gNB Transmitter OTA Test Setup (Chap. 6)

INTERMODULATION TEST, BS TYPE 1-O & 2-O

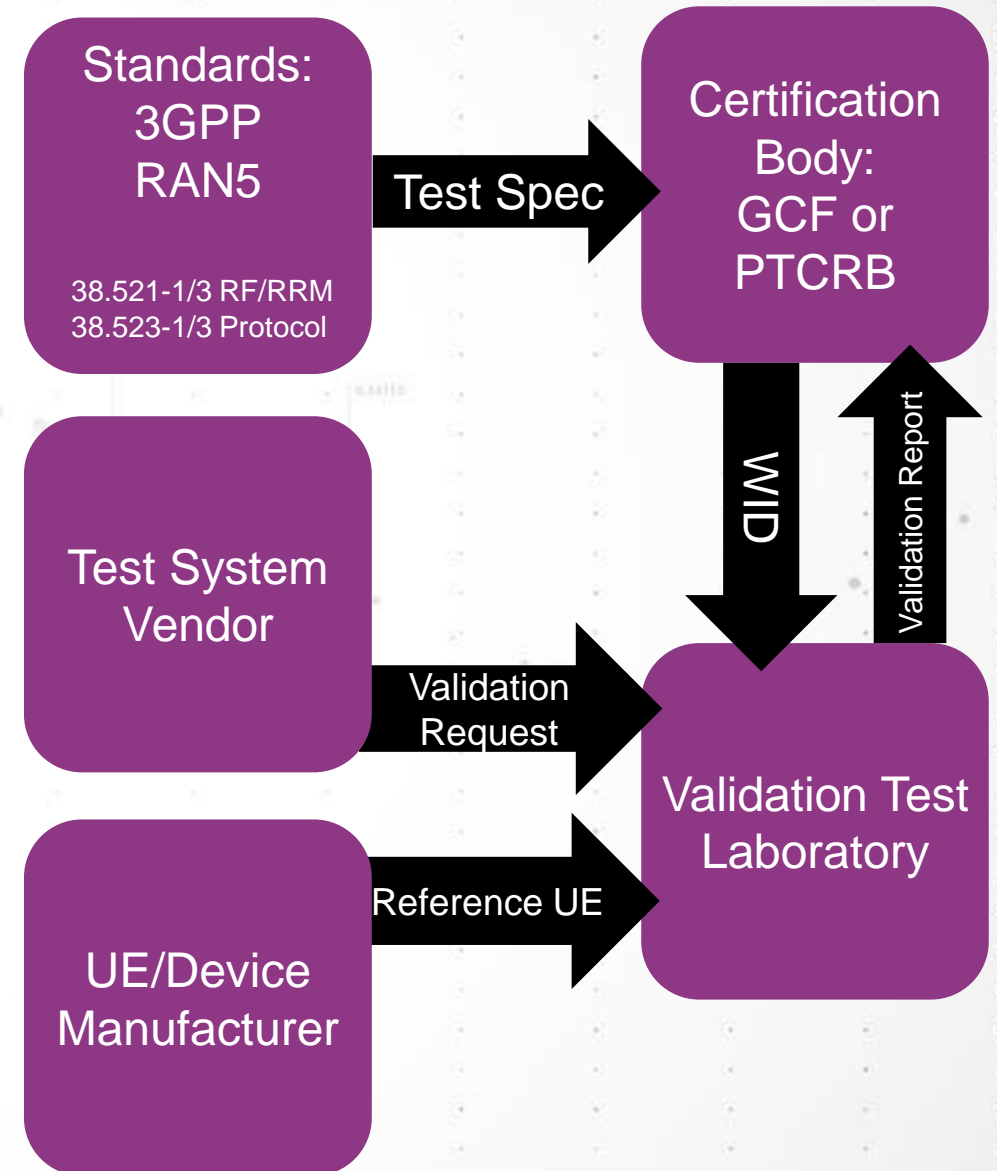


Device Conformance and Operator Acceptance Tests



Process to Ensure 5G UE Conformance

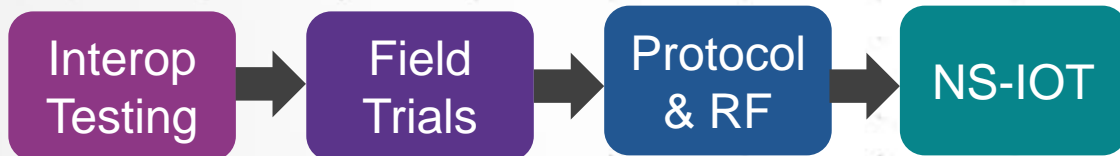
- Standards bodies, certification bodies, test equipment vendors and 5G NR device makers together ensure conformance to 5G specifications
- GCF and PTCRB certification organizations are ready to validate 5G conformance test cases and to start with the certification of the 5G devices



Carrier Acceptance Tests

- Defined by operators
- Validated by operators

Typical steps for devices



UE Conformance Tests Summary – 1 of 2

FR1 Conducted Tests
FR2 Radiated Test

RADIO TRANSMISSION AND RECEPTION

Transmitter Characteristics	Receiver Characteristics	Interworking Operation	Performance
<ul style="list-style-type: none"> • Transmitter Power (UE Max Output Power, Power Reduction, CA, SUL, UL-MIMO ...) • Output Power Dynamics (Min Power, Tx OFF Power, On/OFF Time Mask, Power Control) • Signal Quality (Freq Error / EVM / Carrier Leakage, In-Band Emissions, CA...) • Spectrum Emissions (Occupied BW / SEM/ ALCR / Spurious /... SUL, UL-MIMO) • Tx Intermodulation (FR1) 	<ul style="list-style-type: none"> • Reference Sensitivity Level (Intra-band Contiguous, Non-Contiguous, Inter-Band, DC, SUL, UL-MIMO) • Maximum Input level (CA, UL-MIMO, Adjacent Channel Selectivity) • Blocking Characteristics (In-Band, Out-of-Band) • Spurious Response • Intermodulation Characteristics • Spurious Emissions • Rx Intermodulation (FR1) 	<p>Most of the same Tx and Rx characteristics tests under different carrier aggregation (CA) configuration between 5G NR frequency range 1 and 2 and non-standalone operations with E-UTRA (EN-DC)</p>	<p>Still being defined</p>
CURRENT STATUS March 2019			
<ul style="list-style-type: none"> • Partial Tx test done single carrier, CA later • MOP, EIRP, TRP first completed FR2 test 	<ul style="list-style-type: none"> • Very little Rx test done, single carrier, CA later • No FR2 yet 	<ul style="list-style-type: none"> • Partial done for NSA opt 3 DC (some CA, but not complete yet) 	<p>1 test case close to 100% completed: 2Rx TDD perform – 2x2 MIMO</p>

UE Conformance Tests Summary – 2 of 2

FR1 Conducted Tests
FR2 Radiated Test

RRM AND PROTOCOL

Protocol	RRM Test Coverage
<ul style="list-style-type: none">• Protocol Idle Mode• Layer 2<ul style="list-style-type: none">• Random access procedures, DL data transfers, UL data transfers, transport size,• Protocol RRC procedures• Mobility management• Session management	<p>Ensures efficient use of the radio resources in standalone (FR1 & FR2) and non-standalone (E-UTRA & 5G NR interworking)</p> <ul style="list-style-type: none">• EN-DC option 3 (NR PSCell in FR1)• EN-DC option 3 (NR PSCell in FR2)• SA option 2 (NR Pcell in FR1)• SA option 2 (NR Pcell in FR2)
CURRENT STATUS March 2019	
Mostly done SA opt 2 and NSA opt 3	Still Being Defined 2 tests are 100% completed

3GPP 5G NR RF Specification and Test Standard

CURRENT STATUS

Spec Number	Title	Current Version Mar-28/2019
38.521-1	NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone	15.2.0
38.521-2	NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone	15.2.0
38.521-3	NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios	15.2.0

38.521-1 Clause 6 Transmitter Characteristics

- 6.2 Transmitter power
 - 6.2.1 UE maximum output power
 - 6.2.2 UE maximum output power for modulation / channel bandwidth
 - 6.2.3 UE maximum output power with additional requirements
 - 6.2.4 Configured transmitted power
- 6.3 Output power dynamics
 - 6.3.1 Minimum output power
 - 6.3.2 Transmitter OFF power
 - 6.3.3 Transmit ON/OFF time mask
 - 6.3.4 Power Control
- 6.4 Transmit signal quality
 - 6.4.1 Frequency error
 - 6.4.2 Transmit modulation quality
 - 6.4.2.1 EVM
 - 6.4.2.2 Carrier leakage
 - 6.4.2.3 In-band emissions
 - 6.4.2.4 EVM equalizer spectrum flatness
- 6.5 Output RF spectrum emissions
 - 6.5.1 Occupied bandwidth
 - 6.5.2 Out of band emissions
 - 6.5.2.1 SEM
 - 6.5.2.2 Additional SEM
 - 6.5.2.3 ACLR

38.521-2 Clause 6 Transmitter Characteristics

- 6.2 Transmitter power
 - 6.2.1 UE maximum output power
 - 6.2.2 UE maximum output power for modulation / channel bandwidth
 - 6.2.3 UE maximum output power with additional requirements
 - 6.2.4 Configured transmitted power
- 6.3 Output power dynamics
 - 6.3.1 Minimum output power
 - 6.3.2 Transmitter OFF power
 - 6.3.3 Transmit ON/OFF time mask
 - 6.3.4 Power Control
- 6.4 Transmit signal quality
 - 6.4.1 Frequency error
 - 6.4.2 Transmit modulation quality
 - 6.4.2.1 EVM
 - 6.4.2.2 Carrier leakage
 - 6.4.2.3 In-band emissions
 - 6.4.2.4 EVM equalizer spectrum flatness
- 6.5 Output RF spectrum emissions
 - 6.5.1 Occupied bandwidth
 - 6.5.2 Out of band emissions
 - 6.5.2.1 SEM
 - 6.5.2.2 Additional SEM
 - 6.5.2.3 ACLR

38.521-2 Clause 6.2.1 UE Maximum Output Power

- Note : Power class 1, 2, 3, and 4 are specified based on the assumption of certain UE types with specific device architectures. The UE types can be found in Table 6.2.1-1.

Table 6.2.1-1: Assumption of UE Types

UE Power class	UE type
1	Fixed wireless access(FWA) UE
2	Vehicular UE
3	Handheld UE
4	High power non-handheld UE

38.521-2 Clause 6.2.1 UE Maximum Output Power

- The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The requirement is verified with the test metric of EIRP (Link=Beam peak search grids, Meas=Link angle).

Table 6.2.1.1-1: UE minimum peak EIRP for power class 1

Operating band	Min peak EIRP (dBm)
n257	40.0
n258	40.0
n260	38.0
n261	40.0

NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance

Table 6.2.1.2-1: UE minimum peak EIRP for power class 2

Operating band	Min peak EIRP (dBm)
n257	29
n258	29
n261	29

NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance

Table 6.2.1.3-1: UE minimum peak EIRP for power class 3

Operating band	Min peak EIRP (dBm)
n257	22.4
n258	22.4
n260	20.6
n261	22.4

NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance

Table 6.2.1.4-1: UE minimum peak EIRP for power class 4

Operating band	Min peak EIRP (dBm)
n257	34
n258	34
n260	31
n261	34

NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance

38.521-2 Clause 6.2.1 UE Maximum Output Power

- The maximum output power values for TRP and EIRP are found in Table 6.2.1.1-2 below. The maximum allowed EIRP is derived from regulatory requirements [8]. The requirements are verified with the test metrics of TRP (Link=TX beam peak direction) in beam locked mode and EIRP (Link=TX beam peak direction, Meas=Link angle).

Table 6.2.1.1-2: UE maximum output power limits for power class 1

Operating band	Max TRP (dBm)	Max EIRP (dBm)
n257	35	55
n258	35	55
n260	35	55
n261	35	55

Table 6.2.1.[2-4]-2: : UE maximum output power limits 2~4

Operating band	Max TRP (dBm)	Max EIRP (dBm)
n257	23	43
n258	23	43
n260	23	43
n261	23	43

38.521-2 Clause 6.2.1 UE Maximum Output Power

- The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The requirement is verified with the test metric of EIRP (Link=Beam peak search grids, Meas=Link angle).

Table 6.2.1.1-3: UE spherical coverage for power class 1

Operating band	Min EIRP at 85%-tile CDF (dBm)
n257	32.0
n258	32.0
n260	30.0
n261	32.0
NOTE 1: Minimum EIRP at 85%-tile CDF is defined as the lower limit without tolerance	

Table 6.2.1.2-3: UE spherical coverage for power class 2

Operating band	Min EIRP at 60%-tile CDF (dBm)
n257	18.0
n258	18.0
n261	18.0
NOTE 1: Minimum EIRP at 60%-tile CDF is defined as the lower limit without tolerance	

Table 6.2.1.3-3: UE spherical coverage for power class 3

Operating band	Min EIRP at 50%-tile CDF (dBm)
n257	11.5
n258	11.5
n260	8
n261	11.5
NOTE 1: Minimum EIRP at 50%-tile CDF is defined as the lower limit without tolerance	

Table 6.2.1.4-3: UE spherical coverage for power class 4

Operating band	Min EIRP at 20%-tile CDF (dBm)
n257	25
n258	25
n260	19
n261	25
NOTE 1: Minimum EIRP at 20%-tile CDF is defined as the lower limit without tolerance	

38.521-3 6.1 General

- Unless otherwise stated the transmitter characteristics are specified at the antenna connector(s) of the UE for the bands operating on frequency range 1 and over the air of the UE for the bands operating on frequency range 2.
- The requirements for frequency range 1 and frequency range 2 can be verified separately.
- For the carrier in frequency range 1, requirements can be verified with NR FR2 link disabled.
- For the carrier in frequency range 2, requirements can be verified in OTA mode with LTE connecting to the network by OTA without calibration.

Considerations for Radiated Tests



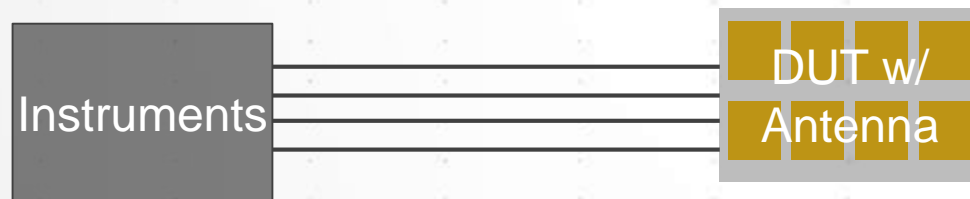
Paradigm Shift in Test

RADIATED TEST MOVES TO THE MAINSTREAM

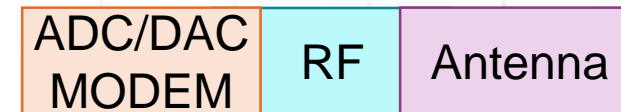
Discrete components, cabled, easy to test



Connected Test Setup: Preferred for nearly all LTE and NR FR1 Tests



mmWave DUTs now all integrated, no probing connectors, harder to test

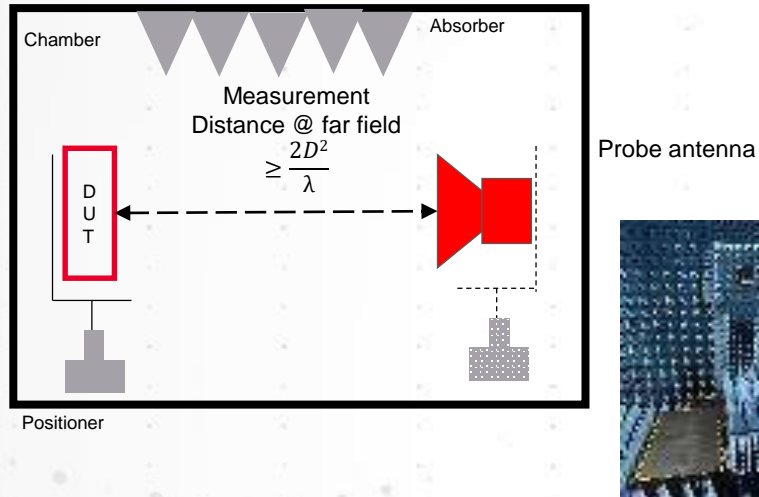


Radiated tests mandatory for ALL FR2



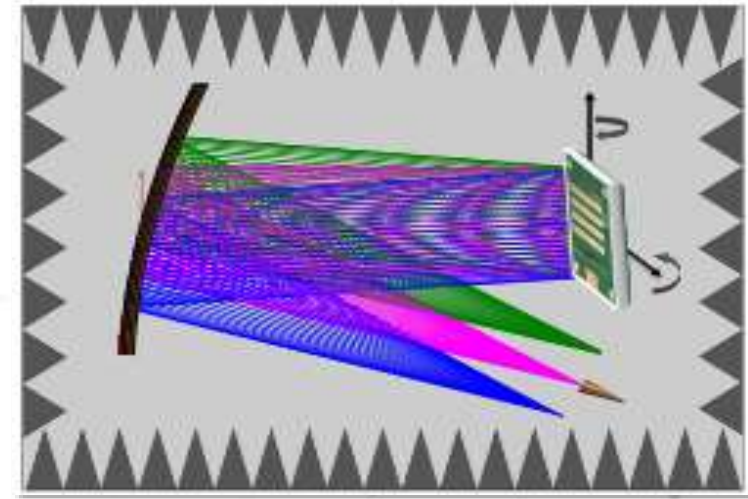
Radiated Test Methods for FR2 Conformance

Direct Far Field



- ✓ Antenna beam pattern characterization
- ✓ Beamforming/beamsteering validation
- ✓ RF parametric tests (if S/N high enough)
- ✗ Subject to higher path loss
- ✗ Large chambers at mmWave frequencies

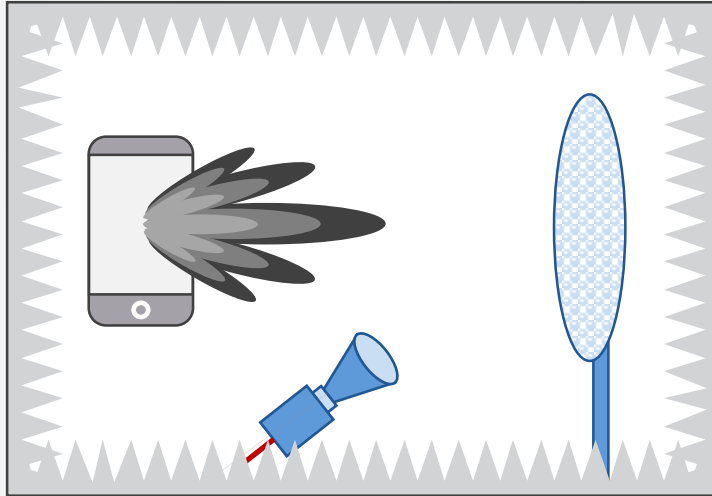
Indirect Far Field



- ✓ Antenna Beam pattern characterization
- ✓ Beamforming/steering validation
- ✓ RF parametric tests
- ✓ Small footprint, lowest path loss
- ✗ Rx spatial field generation not defined

Different Chambers for Different Tests

Compact Antenna Test Range



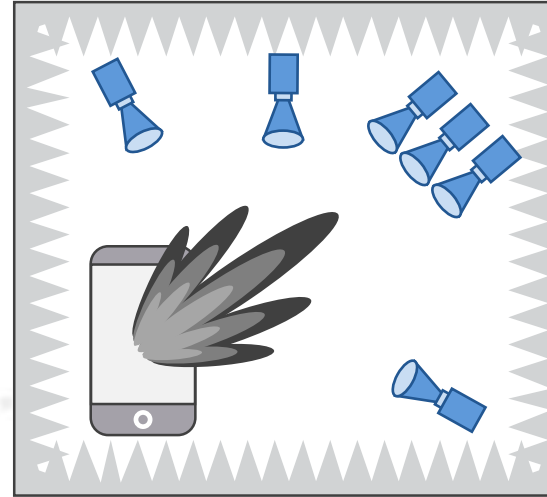
Indirect Far-Field

RF Conformance

Frequency: FR2 24 – 52 GHz (in-band), 6 - 110 GHz (out-of-band)

Target Devices: Antennas/modules, phone, tablets, small gNB

Spatial Multiprobe Anechoic Chamber



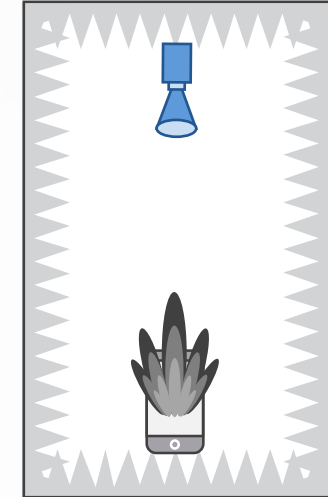
Direct Far-Field

RRM for Multi-AoA
NR-MIMO

Frequency: FR2 24 - 44 GHz

Target Devices: Modules, phones, phablets, mobile test platforms

Single AoA DFF



Direct Far-Field

Protocol Test With Single AoA

Frequency: FR2 24 - 44 GHz

Target Devices: Modules, phones, phablets, mobile test platforms

Summary



Summary

UNDERSTANDING THE ROAD AHEAD

- Higher frequencies, wider bandwidths, dual connectivity, increased # test cases, increased test times, and OTA all increase test complexity
- Conformance test methods are not complete –many challenges ahead
- Standards continue to evolve. Release-16 is due mid 2020 and early work on Release-17 has begun.



Keysight 5G Solutions for All Parts of the Ecosystem

5G Network Test



Drive Test and Analytics



UE Emulation and Load Test



Network Simulation and Test

5G Signaling Validation Test



5G NR Protocol Validation



Radio Signaling Test



5G NR Conformance Test

Physical Layer Design and Test Solutions



System-Level Simulation



Component Characterization



Digital Conformance Test



Parametric Signal Test



RF and mmWave Radiated Test



Manufacturing Test Automation



KEYSIGHT
WORLD 2019

